



2023-2024

ANNUAL ACTION PLAN

ECONOMIC DEVELOPMENT
HOUSING DIVISION



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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Stockton Annual Action Plan (AAP) outlines the expected activities to undertake during the Program Year (PY) beginning July 1, 2023, and ending June 30, 2024. The identified activities will use funding from the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) program funds that the City of Stockton receives from the U.S. Department of Housing and Urban Development (HUD). The AAP represents the fourth year of the City of Stockton 2020-2025 Consolidated Plan (the Consolidated Plan). The AAP describes how the City will address the proposed priority goals, activities, planned outcomes, budget, and the resources allocated for the PY 2023-24, to housing and community development projects to meet its established goals as identified in the City Five-Year 2020-2025 Consolidated Plan. The AAP identifies the expected resources and the activities for funding during the PY.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The AAP for the 2023-2024 PY identifies the various activities and projects that will be implemented and funded under the CDBG, ESG, and HOME federal programs to meet the goals and objectives identified in the Five-Year Consolidated Plan. The City anticipates allocating \$3,220,474 in CDBG funding, \$1,760,707 in HOME funding, and \$275,046 in ESG funding. The objectives and outcomes expected from implementing the 2023-2024 AAP are identified in AP-20 and AP-35.

The Consolidated Plan sets goals and strategies to accomplish over the fiscal years of 2020-2024. It identifies a list of funding priorities established based on data from the Consolidated Plan's Needs Assessment, Market Analysis, public meetings, and community survey results. The goals listed below represent the City's high-priority needs and serve as the basis for the fiscal year 2023-2024 programs and activities identified in the Action Plan.

1. **Housing and Services for the Homeless:** Provide housing and supportive services for the City's homeless population, including emergency, transitional, and permanent supportive shelter, as well as rapid rehousing and homeless prevention. Increase and maintain transitional housing opportunities. Expand housing first model to provide permanent housing units with intense wrap-around services on-site.

2. **Affordable Housing:** Preserve, improve, and expand the supply of quality affordable housing for lower-income households. Increase the supply of affordable multifamily housing. Assist existing low-income owner-occupied households, keeping their homes safe and well-maintained by providing rehabilitation assistance.
3. **Support Economic Development:** Promote economic development activities that create, attract, and retain jobs and promote economic activity and vitality, especially those that provide economic opportunities for low- and moderate-income persons. Enhance the City's entrepreneurship ecosystem by investing in entrepreneur growth initiatives that develop and strengthen small businesses and support local entrepreneurs. Support the redevelopment of blighted areas by investing in the rehabilitation of properties and critical infrastructure improvements.
4. **Public Services:** Ensure the provision of high-quality public services to support ongoing community development, including the provision of funding for fair housing services, among other activities. Actively and faithfully promote fair housing and investigate housing discrimination. Prioritize the maintenance and improvement of municipal services, facilities, and infrastructure.
5. **Services/Support for Special Needs:** Provide supportive services necessary to meet the needs of the City's non-homeless special needs populations. Invest in services that promote equity and support opportunities that engage vulnerable populations such as seniors, youth, veterans, people with disabilities, etc.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City Housing Division of the Economic Development Department continues to annually monitor and evaluate the City sub-recipients of State and Federal funds. The City recognizes that evaluating past performance is critical to ensuring that the City and its sub-recipients implement funding activities efficiently and that those activities align with the City overall goals and funding regulations.

Staff reviews the agency programmatic, fiscal management, and performance goals. Information from the sub-recipients past performances of CDBG and ESG grant funding is provided to the Community Development Committee (CDC) members for evaluation of future requests for funding through the annual grant application process. The CDC and staff also use this information to recommend grant funding to the City Council. Notably, the CDC acts as an advisory body to the City Council and studies housing department data to recommend project funding levels. The CDC reviews community program requests for CDBG & ESG funding via a public procurement process. The committee provides a public forum for community members to provide feedback on needs and support.

All activities address the community needs collected in community engagement sessions conducted during the AAP process and those identified in the Consolidated Plan. The City's performance relative to its annual objectives can be reviewed in the Consolidated Annual Performance and Evaluation Report (CAPER) that is prepared following the completion of the fiscal year and submitted to HUD in September.

The Community Development Block Grant (CDBG), the Emergency Solutions Grant (ESG), and the HOME Investment Partnership (HOME) provided operating funds for many local programs, allowing the City to make progress toward the goals outlined in the Consolidated Plan.

Housing programs funding through the CDGB and HOME programs assisted in expanding the supply of affordable housing. CDBG and ESG funded public service programs support community development, fair housing services, food security, and provide shelter and housing solutions for low-income residents.

The City is proud to report the following projects it took part of via partnerships with local service providers for FY 2022-2023:

1. Children's Home of Stockton was awarded \$87,071 of CDBG funds in program year 22-23 to provide Transitional Age Youth (TAY) experiencing or at-risk of homelessness with temporary housing, mental health services, career readiness, access to housing stability resources, and access to educational opportunities.
2. San Joaquin Fair Housing was awarded CDBG funds to provide Stockton residents with counseling and mediation services to both tenants and landlords and conduct workshops for tenants and landlords to educate and inform them of their rights and existing regulations.
3. Ready to Work was awarded ESG funds to provide wrap-around services to formerly incarcerated individuals, veterans, hard-to-place homeless, those struggling with addiction, and other vulnerable populations; the program provides shelter and job training to work toward self-sheltering.
4. Town Center Studios In 2020, the City Council approved a \$4.2 M application for Project Homekey - a collaboration between the City, STAND Affordable Housing, and Central Valley Low Income Housing. The City provided CDBG funds for partial funding to the project which is 50% complete with 20 rehabilitated units occupied and an additional 20 units in development.
5. Visionary Home Builders was awarded HOME funds for project Liberty Square, new construction of 31 affordable housing units completed and fully occupied.

Currently the City partnered with multiple developers for projects under construction for homeless housing projects and affordable housing projects. The below are projects by developers presently underway and the funding source for each project.

Homeless Housing Projects

- Sonora Square by Housing Authority of San Joaquin – CDBG/ARPA
- Case de Flore by Visionary Home Builders – State
- Calaveras Quarters by Housing Authority of San Joaquin – ARPA

Affordable Housing Projects

- Sierra Vista Phase III by Housing Authority of San Joaquin – CDBG/HOME-ARPA/PLHA
- Grand View Village by Visionary Home Builders – PLHA/LMHF/NSP
- La Passeggiata by Visionary Home Builders - HOME

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City of Stockton developed the goals and priorities in the FY 2023-2024 Action Plan through public meetings and outreach conducted by the City and the Community Development Committee. The City offered a variety of engagement methods for both stakeholders and the public to participate in the Annual Action Plan (AAP) planning process. The City made all attempts to encourage community participation, including low- and moderate-income persons. Meetings were held in public spaces, accommodated the needs of vulnerable populations, and offered alternatives to limited English proficiency community members. Multiple language translators were available at meetings. All community meetings held were open to the public.

HUD regulations for the development of the Consolidated Plan, which is the basis for the Annual Action Plan, requires jurisdictions to reach out to, and consult with, other public and private agencies and organizations to collect information regarding needs, to identify available resources and gaps in the institutional delivery structure, and to coordinate the development of funding allocation priorities, performance standards, and evaluation outcomes.

To prepare the AAP, City staff met with agencies and organizations throughout the city and county, including public and assisted housing providers; an assortment of government agencies; and private and public health, mental health, and social service agencies. Additionally, the City reached out to stakeholders, from sectors like housing, business development, and economic development. In most cases, the consultation discussions represented a continuation of the ongoing interactions and dialogue between the City and local stakeholder groups.

The planning process for the development of this Annual Action Plan began in November 2022 with direct e-mail notifications, press release, and multiple social media platform posts to notify the community of the City of Stockton Town Hall for the Annual Action Plan Process. The Town Hall was held on December 7, 2022, with a diverse representation of Stockton's community.

During the Town Hall, the City engaged with attendees by evenly dividing the entire room into four groups. The City had two staff to proctor and document the group and lead discussions of Stockton's community needs concerning housing, homelessness, business development, vulnerable populations, and grant funding priorities. The participants were polled to indicate what they considered to be a priority for our community. The top three priorities for funding were to support vulnerable populations, infrastructure improvements to strengthen economic development, and workforce development & training. The community feedback recommended funding should provide the highest impact to assist individuals per dollar spent and the City should use best practices for future grant funding.

In December 2022, the City continued efforts in developing the AAP with outreach to the City's housing and community development distribution list, providing notification that the City was releasing a Notice of Funding Availability (NOFA) and accepting applications under the CDBG and ESG programs through January 25, 2023. The announcements reached over 250 agencies, individuals, and stakeholders. The NOFA was noticed to the public in local newspaper, The Record and the local Spanish newspaper, Latino Times.

In preparation for the NOFA release, City staff planned and held a How to Apply Workshop on January 4, 2023. Notifications of the workshop were emailed to distribution lists, past recipients, and posted on social media platforms.

Applications received were reviewed by staff and members of the CDC. Applications were reviewed for compliance with appropriate Federal regulations, Council-adopted local community development objectives, and consistency with the priorities and objectives contained in the Consolidated Plan.

The public will have two public meeting opportunities to review the proposed AAP. A 30-day public review period for the draft FY 2023-24 AAP will occur from March 16, 2023 through April 18, 2023. On March 22, 2023 the City notified the CDC members of the draft AAP available during the public review period. The draft AAP was presented at a Public Hearing at the City Council's meeting on April 18, 2023. The Public Hearing was noticed to the public in local newspaper, The Record and the local Spanish newspaper, Latino Times. The draft AAP was made available on the City of Stockton Economic Development Department website. Hard copies were made available for review during the public review period at the City of Stockton's Economic Development Department at 400 East Main Street, 4th floor, Stockton, CA and at the City Clerk's office, at City Hall, 425 North El Dorado Street, Stockton, CA.

The City takes an active role in gathering public feedback for the AAP. See section AP-12 for a summary of Citizen Participation in community meetings held. Notices, public meetings, and comments received are available in section AP-12 and will be included as an attachment to this AAP.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

A summary of comments received are in section AP-12 Participation. A summary of comments received will be included as an attachment to this AAP at the conclusion of the public review period. It will include any public comments received at the Stockton City Council's Public Hearing held on April 18, 2023.

6. Summary of comments or views not accepted and the reasons for not accepting them

Not applicable, all comments are received for consideration.

7. Summary

The City of Stockton AAP represents year four of the City Consolidated Plan. The AAP identifies activities to complete during the program year 2023-2024 to address community priority needs. The City is prioritizing CDBG funding to support affordable housing, including housing for homeless populations, economic development, public services, and support for vulnerable populations, all consistent with the community needs expressed in the Consolidated Plan. Similarly, for ESG funding the City is prioritizing funding for Emergency Shelter Operations/Transitional Housing and Homelessness Prevention services.

The Consolidated Plan supports these priorities for supportive services by directing public investments, such as improved public infrastructure, facilities, and services, where they can benefit the City's low- and moderate-income populations. At the same time, efforts to provide expanded business assistance and educational opportunities for workers and entrepreneurs will help increase residents' opportunities to improve their economic self-sufficiency, so that resources made available pursuant to the Consolidated Plan can be provided to those most in need of assistance.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	STOCKTON	Economic Development Department
HOME Administrator	STOCKTON	Economic Development Department
ESG Administrator	STOCKTON	Economic Development Department

Table 1 – Responsible Agencies

Narrative (optional)

The Economic Development Department of the City of Stockton prepares the Consolidated Plan, the Annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER). The Economic Development Department comprises several divisions, which work together to administer the CDBG, HOME, and ESG programs. The Housing Division functions as the primary lead, coordinating with other divisions as necessary and appropriate. In addition, staff also collaborates with a network of governmental agencies and non-profit organizations to facilitate strategic planning and implementation of Consolidated Plan goals and objectives.

The City of Stockton's Economic Development Department administers each grant program and its associated funding source(s). Primary funding recommendations for the City's CDBG & ESG grants come from the Community Development Committee (CDC). This committee is appointed by the City Council and is comprised of community member representatives from each District. The CDC makes funding recommendations to the City of Stockton and is then recommended by City staff for approval by City Council. The CDC meets one to two times a month during the NOFA process and after that, meets quarterly. These meetings are publicly noticed, open to the public, and generally held at the Cesar Chavez Library, Stewart Hazelton Room, 605 N. El Dorado Street, Stockton, CA 95202. An opportunity for community members to address the committee with public comments are provided at the beginning of each CDC meeting.

To avoid any situations which might constitute a conflict of interest, any CDC member who is also a member of a board of directors, employed by, or has a relative of agencies applying for funding, is ineligible to vote on funding decisions involving that respective agency. CDC members are required to file per the conflict of interest code, once appointed and then annually.

Consolidated Plan Public Contact Information

The following are various ways in which an interested party can contact the City of Stockton Economic Development staff with comments regarding the City's Consolidated Plan:

- Telephone: (209) 937-8539
- Email: Economic.Development@stocktonca.gov
- In Person: City of Stockton, Economic Development Department, 400 East Main Street, 4th floor, Stockton, CA 95202

Carrie Wright, Economic Development Director

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The planning process required under HUD regulations for the development of the AAP requires jurisdictions to reach out to, and consult with, other public and private agencies and organizations to collect information on need, to identify available resources and gaps in the official delivery structure, and to coordinate the development of funding allocation priorities, performance standards, and evaluation outcomes.

The City of Stockton consulted with several organizations, stakeholders, community members, and service providers. Consultations occur throughout the year as part of the City's engagement with local community forums and collaboration with San Joaquin Continuum of Care, local homeless service providers, housing advocates, the Housing Authority, and homeless individuals.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The City's public engagement strategy include active participation in several committees and working groups with members from public and assisted housing organizations, service agencies, social services, and mental health providers. The City regularly attends the San Joaquin Continuum of Care (CoC) monthly meetings. The CoC includes service providers such as private/governmental health, youth/senior services, affordable housing, mental health, and other government agencies. These meetings serve as a collaboration meeting for all members for service delivery throughout San Joaquin County.

The City's Economic Development Department meets regularly with sub-recipients and partner agencies to discuss HUD funded projects. Similarly, the City works directly with the Housing Authority of the County of San Joaquin through partnerships on affordable housing projects. Regular communication between the agencies mentioned above is maintained to discuss upcoming project and projects underway and to address needs in the community.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Consultation and coordination with the San Joaquin County Continuum of Care (CoC) representatives is a fundamental component of the plan development process. Efforts to address the needs of homeless persons are described in greater detail throughout the Consolidated Plan. The City of Stockton is an active San Joaquin County CoC member. The CoC is led by the Chairperson of Lutheran Social Services of

Northern California which represents a consortium of local government agencies and non-profit organizations, encompassing all jurisdictions in San Joaquin County, including the City of Stockton. Areas of coordination with the CoC include:

- **Coordinated Funding Strategies:** The City partners with the CoC Consolidated applicant to review local community needs and program opportunities. The City works in partnership with local funders, including the County, managed care plans, and hospitals to create high-level systems change.
- **Data Sharing and Analytics:** The City participates in the local Data and HMIS committee and works jointly with the HMIS lead to foster uniform data reporting. ESG subrecipients are required to participate in the HMIS and funding is allocated toward the operations and management of the HMIS, including licenses and staff training.
- **Coordinated Entry:** Regionally, the Stockton/San Joaquin CoC has adopted a Housing First framework. Through City and partner funding, the CES system is active and working in the community. City funding is allocated to support the efforts of the CES lead, including landlord engagement.
- **Education Capacity Building:** The City of Stockton works in partnership with the United Way of San Joaquin to strengthen the knowledge and understanding of the homeless system. Work includes participating in the editorial board of a bi-monthly regional publication on activities occurring in the community to address homelessness as well as trainings and learning sessions to build capacity of new and emerging community leaders, including those with lived experience.
- **Addressing Racial and Ethnic Disparities:** City Staff chairs the Strategic Planning sub-committee of the CoC, which this year is taking a focused look at the racial and ethnic disparities that are existing within the homeless continuum. Through a technical assistance grant this effort will result in a shared plan to minimize disparities.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

As the largest city within the San Joaquin CoC area, the City of Stockton maintains a seat on the CoC governing body and participates in consultation with the San Joaquin County, and other participating agencies and organizations, regarding ESG funding. The City notified the CoC of the Notice of Funding Availability for the 2023-2024 allocation of CDBG and ESG funds. In addition, an announcement to the CoC was delivered by City staff of the public review period of the draft AAP as consultation and coordination with the CoC of the use of ESG funds to meet the local needs of community members experiencing homelessness.

The Homeless Management Information System (HMIS) is an effective relational database for coordinating client services and aggregate data is used to inform community planning and public policy and increasing collaboration across agencies. HMIS can help identify gaps in service and maintains accurate data on the provision of housing and services to homeless individuals and families as well as person at risk of homelessness. The data includes length of homelessness, returns of homelessness, first time homeless, number of sheltered homeless persons, and exit to permanent housing. The Central Valley Low Income Housing Corporation (CVLIHC) is a member of the CoC and responsible for the administration of the Homeless Management Information System (HMIS).

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Arnaiz Development Company, Inc.
	Agency/Group/Organization Type	Housing Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the focus group discussions, for the development code overhaul, followed up by a one-on-one phone call. The anticipated outcome was to collect information regarding the ability of private housing developers to provide new housing within the city, particularly quality affordable housing, and to identify any barriers to the provision of housing.
2	Agency/Group/Organization	Catholic Charities of the Diocese of Stockton
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the City Shape Stockton housing efforts. The anticipated outcome was to collect information regarding housing needs, services, constraints for those, and possible solutions.
3	Agency/Group/Organization	Central Valley Low Income Housing Corporation
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process as a lead participant in the Continuum of Care, participation in the City Shape Stockton housing efforts, and consulted in the annual action plan development process. CVLIHC is the regions HMIS lead agency, in this capacity the City and CVLIHC meet monthly to review homeless service data and discuss strategies to strengthen data collection and reporting processes, including training and capacity building for local homeless service providers. The anticipated outcome was to collect information regarding resources available for, coordination efforts in place, promotion of services, and the needs of, homeless and at-risk populations, as well as to improve coordination with the housing, homelessness, and anti poverty strategies. Identified areas for improved coordination are length of contract execution time for awarding funds and reimbursement turnaround; delays impair the ongoing operations of the agency activities, and the need for funds to sustain HMIS licenses for the growing number of homeless service providers.
5	Agency/Group/Organization	Grupe Commercial Company
	Agency/Group/Organization Type	Housing Business and Civic Leaders Developer

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the City Shape Stockton housing and zoning efforts and consulted in the annual action plan development process. The anticipated outcome was to collect information regarding housing construction and the housing market in Stockton. Also, to obtain information for City coordination efforts in place, promotion of services, and areas needing improvement. Identified areas for coordination and improvements are processing time for permitting, plan review fees and permitting costs are high, considering additional fee reductions, and expedited plan review for types of development the City prefers.
6	Agency/Group/Organization	Gospel Center Rescue Mission
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process for the annual action plan. The anticipated outcome was to collect information regarding the housing and social service needs and existing services for persons experiencing drug and alcohol addictions, as well as to improve coordination with the homelessness, non homeless special needs, and anti poverty strategy, among other areas. The organization was invited to participate in the City Shape Stockton housing efforts and even toured Little Manila with Staff. The anticipated outcome was to collect information regarding the housing and social service needs of persons experiencing substance issues, need for infrastructure in lower income areas. Identified areas for coordination and improvements are release for completion of the Environmental Impact Report, response time for agreements and invoices submitted, release of funds in a timely manner, and for the City to meet the Rotary 4 Way Test for decision making, implementation would make the City much more effective.
7	Agency/Group/Organization	Mutual Housing California
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process for City Shape Stockton housing efforts. The anticipated outcome was to collect information regarding housing and ways to fund new housing and new housing programs, as well as to improve coordination with the City.
8	Agency/Group/Organization	Housing Authority of San Joaquin County
	Agency/Group/Organization Type	Housing Services - Housing Other government - County Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Market Analysis Anti-poverty Strategy Lead-based Paint Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process as the certified Public Housing Agency and the City Shape Stockton housing efforts. The City works in partnership with the Housing Authority to develop strategic approaches to increase the number of affordable housing units throughout the city and region to meet the needs of the most vulnerable members of our community. The City is currently partnering with HACSJ on three development projects and meets on a monthly basis, through the CoC Housing Subcommittee to review opportunities to prevent and end homelessness. The anticipated outcome was to collect information regarding public housing resources, conditions, and the needs of tenants, as well as to improve coordination with housing, homelessness, community development, and anti poverty strategies.
9	Agency/Group/Organization	San Joaquin Council of Governments
	Agency/Group/Organization Type	Other government - Local Regional organization Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Infrastructure
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process and the Shape Stockton process. The anticipated outcome was to collect information regarding housing, services, and infrastructure within the Stockton area and regionally and to improve coordination with the City.
10	Agency/Group/Organization	New Directions
	Agency/Group/Organization Type	Services-Victims of Domestic Violence Services-homeless

	What section of the Plan was addressed by Consultation?	Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process for the annual action plan. The anticipated outcome was to collect information regarding the social service needs of persons experiencing substance abuse addictions, as well as to improve coordination with the City. No areas for improved coordination were mentioned.
11	Agency/Group/Organization	San Joaquin County Behavioral Health Services
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Services-Health Health Agency Other government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Services provided through the County Behavioral Health system are a critical component of the continuum of care for homeless individuals. The City works closely with BHS on numerous projects to address the health and behavioral health needs of the community, including wrap-around services and supports for those that are unhoused and experiencing mental health disorders or substance use disorders. This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of persons with behavioral health issues and those experiencing drug and alcohol addictions, as well as to improve coordination with the Consolidated Plan homelessness and anti poverty strategy, among other areas.
12	Agency/Group/Organization	Little Manila Rising
	Agency/Group/Organization Type	Housing Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process for the City Shape Stockton housing efforts. The anticipated outcome was to collect information regarding housing and social services within South Stockton and Little Manila neighborhood.
14	Agency/Group/Organization	SAN JOAQUIN FAIR HOUSING ASSOCIATION
	Agency/Group/Organization Type	Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the Shape Stockton process. The anticipated outcome was to collect information regarding fair housing issues and identify barriers to affordable housing as well as to improve coordination with the City of the anti poverty strategy, among others.
15	Agency/Group/Organization	SECOND HARVEST FOOD BANK
	Agency/Group/Organization Type	Services-homeless Services-Health Regional organization
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Market Analysis Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process for the annual action plan. The anticipated outcome was to collect information regarding met and unmet nutrition needs for food insecurity, as well as to improve coordination with the City and the anti poverty strategy. Identified areas for coordination and improvements are better alignment with efforts around food insecurity, engagement with City leadership for agency involvement to ensure proper equitable distribution of food and connect agency with organizations that can assist in distribution of food for the community.
16	Agency/Group/Organization	The Echo Chamber
	Agency/Group/Organization Type	Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process and Shape Stockton. The anticipated outcome was to collect information regarding the housing market, barriers to affordable housing development city wide and in selected areas, as well as to improve coordination with those who are unhoused or at imminent risk of homelessness. The Echo Chamber participates in the City non profit leadership program to engage the next generation of community leaders. Insights from this program are also informing entrepreneurial development needs in under resourced neighborhoods.
17	Agency/Group/Organization	St. Mary's Dining Room
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services - Victims
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process for the annual action plan. The anticipated outcome was to collect information regarding the services provided and to identify gaps in service for homeless and at risk populations, as well as to improve coordination with the housing, homelessness, and anti poverty strategies. Identified areas for coordination and improvements are animal shelter assistance or space for stray dogs, prioritizing drop off from St. Marys, shuttle service from St. Marys to warming centers during storms, and assistance to identify resources for St. Marys core services of food, clothing, and showers and the opportunity to expand services to better meet the needs of the unsheltered homeless population.
18	Agency/Group/Organization	STAND Affordable Housing
	Agency/Group/Organization Type	Housing Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process and Shape Stockton efforts. STAND operates a range of affordable housing programs for those that are exiting homelessness, including an innovative project involving shared housing. The anticipated outcome was to collect information regarding the housing market, barriers to affordable housing development, and the connection between housing and public safety, as well as to improve coordination with the City and antipoverty strategies. The City received input on how a range of different approaches can be used to address permanent housing needs of diverse populations.

19	Agency/Group/Organization	Emergency Food Bank of Stockton
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Services-Health
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Market Analysis Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process for the annual action plan. The anticipated outcome was to collect information regarding met and unmet nutrition needs for the community low income population, homeless individuals, seniors, medically impaired persons, and working poor, as well as to improve coordination with the City, the anti poverty strategy, capacity improvements, food purchasing and program growth. Identified areas for coordination and improvements are limited, the agency stated the work is good.
20	Agency/Group/Organization	Stockton Shelter for the Homeless
	Agency/Group/Organization Type	Housing Services-Persons with HIV/AIDS Services-homeless

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City is currently working with SSH to strengthen and transform homeless shelter services through the creation of a new 24 hour Navigation Center. This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of homeless individuals in Stockton, as well as to improve coordination with the City for housing, homelessness and anti poverty strategies, among other areas. SSH provided critical insight into the opportunities and challenges to exit people from homelessness into permanent housing.
21	Agency/Group/Organization	Downtown Stockton Alliance
	Agency/Group/Organization Type	Housing Business Leaders Economic Vitality
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process and the City Shape Stockton housing efforts. The anticipated outcome was to collect information regarding the reuse of buildings, ways to reduce review times and fees, inclusion of market rate housing in the area, and to improve coordination with the City.

22	Agency/Group/Organization	Visionary Home Builders of California, Inc.
	Agency/Group/Organization Type	Housing Business Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City is currently partnering with VHB on several affordable housing developments, targeting very low to moderate income households. This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing market, and barriers to affordable housing development and improve coordination with the City. Through input from housing developers, like VHB, the City is working to streamline and simplify the project approval and permitting process and to implement various strategies to spur affordable housing development in the community.
23	Agency/Group/Organization	Women's Center Youth and Family Services
	Agency/Group/Organization Type	Housing Services-Children Services-Victims of Domestic Violence Services-homeless Services-Education Services - Victims

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City works in close collaboration with WCYFS on projects associated with meeting the needs of women, youth, and families impacted by domestic violence, family harm, or isolation. WCYFS sponsors a local Youth Action Board who provide valuable insight on the needs of young people in the community, especially those that are unhoused, couch surfing, or otherwise living in conditions that do not feel safe. This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of victims of domestic violence, as well as to improve coordination with the City for housing, homelessness and anti poverty strategies, among other areas. Anticipated impacts of the ongoing engagement and coordination with WCYFS is likely a greater emphasis on trauma informed trainings for local providers, especially trainings that can lift up the trauma experiences of historically marginalized or disenfranchised populations, including teens and youth, immigrants, BIPOC, and LGBTQ individuals.
24	Agency/Group/Organization	Reinvent South Stockton Coalition
	Agency/Group/Organization Type	Housing Services - Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City partners with RSSC on numerous projects to lift up the economic growth and well being of several very low income neighborhoods in South Stockton. This organization was invited to participate in the Shape Stockton Process and in housing and homeless needs assessment planning. The anticipated outcome was to collect information regarding the housing and services within the South Stockton area. Pivotaly, RSSC shared information about the lack of homeownership opportunities, and the trend of large investment companies buying properties within the community, increasing rents, and not maintaining the property in a manner more typical of a homeowner or small local investor and landlord. RSSC also raised the issue of deliberate vacancies as an investment strategy, and the impact this strategy has to local housing affordability.
25	Agency/Group/Organization	El Concilio of San Joaquin County
	Agency/Group/Organization Type	Housing Services-Children Services-Elderly Persons Services-Victims of Domestic Violence Services-Health Services-Education Services-Employment Child Welfare Agency Regional organization Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process for the annual action plan. The anticipated outcome was to collect information regarding service needs for behavioral health, family resources, wellness services, and education, as well as to improve coordination with the City. Identified areas for coordination and improvements are partnering with nonprofits for in kind services and reducing or discounting venue fees for partner agencies.
26	Agency/Group/Organization	Dignity Health
	Agency/Group/Organization Type	Housing Services - Housing Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Health Health Agency Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Dignity Health is the largest health care provider in the City of Stockton operating both the local St. Josephs hospital and neighboring public hospital, under a management agreement with the County. Dignity Health receives the bulk of all emergency department visits in the County (through the operations of the two hospitals) and is responsible for the care of the vast majority of indigent and MediCal or Medicare clients in need of acute care services. This organization was invited to participate in the consultation process for the annual action plan. The anticipated outcome was to collect information regarding service needs for health care, home health, rehab services, mental wellness, transition of care for unhoused population, and community health programs, as well as coordination with the City and others with permanent housing and transitional housing projects. Identified areas for coordination and improvements are more intentional partnership with Dignitys health collaborative, building and improving housing to support the homeless, the development of recuperative care programs for the homeless, and improved public safety, street lighting, flashing crosswalks, and sidewalk repairs. Dignity Health is one of the regions major employers, and also provided insight into strategies pertaining to economic development and the importance of making Stockton the best city to live, raise a family, and grow a business as an important component of employee recruitment and retention.
27	Agency/Group/Organization	First 5 San Joaquin
	Agency/Group/Organization Type	Services-Children Services-homeless Services-Education Child Welfare Agency Other government - County

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Under state funding, First 5 San Joaquin provides rapid re-housing vouchers to homeless families with young children and wrap around case management services to stabilize the family and ensure quality care and safety of the children in the home. This organization was invited to participate in the consultation process for the annual action plan. The anticipated outcome was to collect information regarding education needs for children ages 0-5, housing support, as well as coordination with the City. Identified areas for coordination and improvements are expand partnership opportunities to identify ways to leverage resources to serve similar populations and age ranges. First 5 provided additional insight on the needs of families with young children, including the difficulties women are facing re-entering the workforce following a pandemic related job loss. For many families, economic uncertainties are continuing to drive housing instability and more resources are encouraged for homeless prevention including funds for utility payments and rental assistance to keep families housed and avert homelessness.
28	Agency/Group/Organization	City of Stockton
	Agency/Group/Organization Type	Services - Broadband Internet Service Providers Services - Narrowing the Digital Divide Other government - Local
	What section of the Plan was addressed by Consultation?	Market Analysis Economic Development

Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?

The City used American Rescue Plan funds to equitably distribute 1550 Chromebooks with 1550 Hotspots to 1500 recipients. In addition, 500 tablets were distributed to close the Digital Divide in Stockton. According to the 2017 one-year ACS estimates for the City of Stockton (Table S2801), approximately 91 percent of Stockton households have one or more computing device, including desktop or laptop computers, tablets, smartphones, or other portable wireless computers. While all households in Stockton live within an area served by wired or wireless broadband service, the ACS data indicate that 81 percent of Stockton households have a paid internet subscription, including 75 percent with a cellular data plan and 67 percent with wired broadband and eight percent with satellite broadband. The data indicates that 12 percent of Stockton households, or 11,237 households, only have a paid cellular data plan, while 19 percent have no paid broadband service. In addition, the ACS data indicates that only 60 percent of households with annual incomes below \$20,000 have paid broadband service, and only 81 percent of those with annual incomes between \$20,000 and \$74,999 have paid broadband service. In contrast, 94 percent of those with incomes of \$75,000 or more have paid for broadband service. This indicates that the reduced resources of lower-income households likely result in reduced access to the internet. Nonetheless, free broadband access is available through the public library system and at other locations throughout the community, such as at the City Permit Center and at a variety of coffee shops throughout the City. Also, Xfinity Internet Essentials service provides reduced-cost broadband for qualified households. Households are eligible if they qualify for public assistance programs, such as the National School Lunch Program, Housing Assistance, Medicaid, SNAP, SSI, and others. all areas within the City of Stockton are served by at least two, and up to 12 or more, broadband providers. Additional information provided by GeoISP indicates that all areas of the City have access to broadband services, including both wired and wireless broadband, with an average home download speed of 5.68863 Mbps. GeoISP indicates that there are two wired broadband providers who each provide service to more than 95 percent of the population, including AT&T who provides DSL service to 95.49 of the population and Xfinity who provides cable internet access to 98.47 percent of the population. Please note that each provider offers service in a different geographic area, such that only an estimated 0.7 percent of the population do not have access to wired broadband services. In addition, there are four cellular

Identify any Agency Types not consulted and provide rationale for not consulting

N/A

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	San Joaquin Continuum of Care	Identified goals of the San Joaquin Community Response to Homelessness plan that align with the City of Stockton goals to increase access and reduce barriers to homeless crisis response services and ensure households experiencing homelessness have access to affordable and sustainable permanent housing.
Local Homeless Action Plan (LHAP)	City of Stockton	The LHAP provides an assessment of homeless services and builds upon the collective efforts and actions of community leaders to prevent and end homelessness and the significant investments under way through state and federal funding to address homelessness, a parallel goal with those identified in the AAP.
Economic Development Strategic Action Plan (EDSAP)	City of Stockton	The City EDSAP builds upon Stockton economic development goals and focuses strategic investment together with the AAP goal of supporting economic development with activities that create, attract, and retain jobs for economic vitality and provide opportunities for low- and moderate-income persons.
Housing Element	City of Stockton	Goals of the City General Plan Housing Element serves as a policy guide to help Stockton meet existing and future housing needs and were considered when preparing the AAP. The goals of the AAP and Housing Element address creating and preserving affordable housing in the City.
General Plan	City of Stockton	The City General Plan is its constitution for development and outlines community growth strategies and the need to include housing and services among those strategies.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
One Page Strategic Plan	City of Stockton	The City Strategic Plan includes Council priority goals to address homelessness, increasing the supply of affordable housing, and prioritizing Economic Development, which City leaders and City employees will work collaboratively to deliver community needs.
HOME-ARP Plan	City of Stockton	This plan addresses how to prioritize funding coming in the City and identifies the need for affordable permanent housing and permanent supportive housing for homeless individuals or those at risk as the overwhelming priority, especially for vulnerable populations; priorities align with those set forth in the AAP.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The City of Stockton follows an approved Citizen Participation Plan as a guide for engaging with citizens and encouraging citizen participation. The planning process for the development of this Annual Action Plan began in November 2022. Community Outreach included an in-person community Town Hall meeting open to the public. Notices of the Town Hall began with published public notices in the Record, a City issued press release, and emails providing details of the Town Hall. Several postings were made on the City's social media platforms notifying the community of the Town Hall. Community meetings provide a forum for citizens to participate in matters related to the City's HUD programs and obtain public feedback. Additionally, the City issued a public notice, City issued press release, emails notifications, and social media posts for the Notice of Funding Availability and open application portal for funding under the CDBG and ESG programs. The Community Development Committee held multiple public meetings from December 2022 through February 2023. These meetings allowed agencies and interested citizens the opportunity to express their comments, concerns, and thoughts on the housing and community development needs of the community.

Following the CDBG & ESG application submission period, funding requests were reviewed by staff and members of the Community Development Committee (CDC). Applications were reviewed for compliance with Federal regulations, Council-adopted local community development objectives, and consistency with the priorities and objectives contained in the Consolidated Plan. In addition, each application was assessed for demonstration of need; project readiness, capacity, and experience; measurable outcomes, objectives, and low-moderate income benefit; and applicants' experience with managing government grants and leveraging resources. The CDC held two public meetings on February 8, 2023, and February 22, 2023, the latter of which resulted in funding recommendations to the City. Another meeting on March 22, 2023 was held to inform the CDC of the draft AAP during the public review period.

During the citizen participation process, community meetings were held to inform the public of the 2023-2024 AAP process and the programs offered by various funding sources covered under the AAP. Comments from the community meetings were obtained for needs assessment to consider for goal setting. A public hearing at City Council was held on April 18, 2023. The public comment period was held from March 16, 2023 through April 18, 2023. A public notice announcing the AAP draft availability was published in the Record and in Spanish in the Latino Times. Dates, times and locations of community meetings as well as public hearings were published through these medium and are summarized in Table 4.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Mailer via email	Mailing List	A total of four mail mailers were sent out to a mailing list of approximately 255 recipients to inform the public of NOFA related items. The first mailer was sent out on November 29, 2022 to announce the Town Hall to collect feedback to guide the NOFA. A notification was sent on December 20, 2022 announcing the opening of the NOFA and the application workshop for the NOFA. January 19, 2023 another email was sent to remind	None Received	N/A	39
OMB Control	No: 2506-0117 (exp. 09/30/2021)			Annual Action Plan 2023		

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Internet Outreach	Non-targeted/broad community	A flyer was posted to the City of Stockton website and official Facebook page advertising the NOFA requesting applications due by January 24, 2022. Three social media posts were on December 21, 2022, December 23, 2022, and January 19, 2023.	None received; the City sends out regular electronic emails to subscribers and mailing lists notifying them of actions related to the AAP and grant funding opportunities under the AAP.	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Newspaper Ad	Non-targeted/broad community	Public Notice published in the Record and Latino Times (in Spanish) for the following: NOFA for CDBG/ESG grants, applications due by January 25, 2023, published on 12/5/22. Public Hearing for AAP 2023-2024 4/18/23 published on 3/9/23.	None Received	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Public Meeting	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Non-targeted/broad community Residents of	A town hall to collect feedback for the AAP planning process was held on December 7, 2022. Excluding staff, 32 community members were in attendance. How to Apply Workshop held for direction on the application process for CDBG/ESG grants on 1/4/23. Three CDC meetings (public meetings) were held which were attended by City Staff, CDC members, stakeholders, and members of the public. The purpose of the meetings were to inform CDC	Town Hall comments varied; posted on the City EDD website- www.stocktonca.gov/econdev Workshop provided information to potential applicants on the City CDBG/ESG application process. CDC meeting public comments are reflected in the meeting minutes. Any additional public comments received by EDD staff during the public comment period and at the public hearing are	N/A	www.stocktonca.gov/econdev 42

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
5	Press Release	Non-targeted/broad community	Town Hall Meeting AAP Press Release on 11/28/22.NOFA CDBG/ESG Press Release on 12/14/22.	None Received	N/A	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

During the program year 2023-2024, the City will receive approximately \$3.2 million in annual CDBG funding. The City will receive approximately \$1.7 million in HOME funding for housing activities, and administrative costs. The City also will receive \$275,046 in annual ESG funding. The table below provides a breakdown of these anticipated resources.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	3,220,474	1,519,794	2,226,795	6,967,063	3,220,474	Expected Uses: Program Administration, Housing programs, Public services, Fair Housing, Homelessness Services

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,760,707	200,000	1,117,658	3,078,365	1,760,707	Expected Uses: Rental Housing Rehabilitation/New Construction
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	275,046	0	0	275,046	275,046	Expected Uses: Homeless Services, Homeless Prevention Rapid Re-Housing

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how

matching requirements will be satisfied

Existing HUD resources allow the City to leverage additional local, state, and private philanthropic funding sources to maximize impact. The City identifies additional funds during the project planning phase and prior to project award to compliment the goals in our five-year Consolidated Plan. For example, the City receives Homeless Housing Assistance and Prevention (HHAP) funding from the State of California to provide services and shelter support for homeless populations. Another State source of funding is Project Homekey grant funding for the construction or renovation of permanent housing units for homeless individuals. Permanent Local Housing Allocation (PLHA) funds from the State provide resources to compliment Federal resources allocated to a wider range of affordable housing projects.

Last year, the Federal Environmental Protection Agency (EPA) granted the City a Brownfields Coalition Community-Wide Assessment Grant that supports several of the City's goals of transforming underutilized parcels to promote affordable housing, small business development, and promote overall economic growth and development. For the City's unsheltered population, funding has also been leveraged via the American Rescue Plan Act (ARPA) allocations to expand homeless services and strengthen public infrastructure and facilities for very-low income communities, including those experiencing homelessness. Examples of projects include upgraded restroom and hygiene stations in various city parks and public spaces. The City received funding from the US Small Business Administration Office of Grants Management to leverage and support economic development. The City continues to search for additional opportunities to leverage funds on a regular basis.

Private Resources: Affordable Housing Program (AHP) is a semi-annual competitive grant program offered by financial institutions associated with the twelve local FHL Banks. Private Mortgages provided by private lenders have financed many of the larger multifamily housing projects, as well as some CDBG projects undertaken within the City. Private Industry companies and groups have established funds that can be leveraged to facilitate affordable and workforce housing development. Private Philanthropy through the San Joaquin Community Foundation, local hospitals and health systems, and United Way of San Joaquin continues to support programs for the most vulnerable individuals in our communities. Philanthropic partners, including local managed care plans are making significant investments to programs within the homeless continuum of care.

State Resources: California offers numerous funding programs, listed below, which could be used as matching funds for HOME and ESG funds. More information about these programs is available through the California Department of Housing and Community Development:

Low Income Housing Tax Credit (LIHTC)

Affordable Housing and Sustainable Communities (AHSC)

Tax-Exempt Bonds

CalHome

Local Housing Trust Fund Program (LHTF)

Golden State Acquisition Fund (GSAF)

Housing for Health California (HHC)

Infill Infrastructure Grant Program (IIG)

Joe Serna, Jr., Farmworker Housing Grant Program (FHHG)

Mobile Home Park Rehabilitation and Resident Ownership Program (MPROP)

Supportive Housing Multifamily Housing Program (SHMHP)

Multifamily Housing Program (MHP)

No Place Like Home

Predevelopment Loan Program (PDL)

Section 811 Project Rental Assistance

Transit-Oriented Development Housing Program (TOD)

Veterans Housing and Homeless Prevention Program (VHHP)

Permanent Local Housing Allocation (PLHA)

Mixed-Income Program

California Emergency Solutions Housing (CESH)

SB 2 Planning Grants Program

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City of Stockton was awarded \$5.2 million from the Department of Toxic Substances Control (DTSC) under the Equitable Community Revitalization Grant (ECRG) to remediate a nine-acre City-owned site along the waterfront. The four (4) parcels, collectively known as South Pointe, are located at 705, 833, and 855 Weber Avenue. The City has entered into an Exclusive Negotiating Rights Agreement (ENRA) with RBH Group of Newark, NJ to develop an approximately 853,000 SF mixed-use residential development on South Pointe (701-855 W Weber Avenue). Phase I will include 169,500 GSF (255 units) of workforce rental housing, seniors and life-long learners, along with retail, parking, waterfront recreational and educational/community space. A Disposition and Development Agreement is currently being drafted, with Phase I expected to begin construction in June 2024.

Phase II will consist of market rate housing, as well as commercial, civic, and parking uses. The planned development builds upon the City's prior investments in waterfront entertainment and recreation and seeks to create a dynamic new neighborhood that extends the vibrancy of downtown Stockton further into the south waterfront.

In addition, the State Surplus Land Act requires the city to identify surplus and excess local public lands be declared surplus by City Council and issue a Notice of Availability to housing developers and local agencies. All dispositions must be approved by HCD before a sale or lease can be finalized. Three areas of City-owned properties have been deemed surplus and are available and suitable for housing: Lafayette/Stanislaus (four parcels), Weber Avenue (four parcels), and South Airport (one parcel). Address and acreage of each property is listed below.

530 Lafayette - 0.11 acres

534 Lafayette - 0.09 acres

536 Lafayette - 0.14 acres

411 S Stanislaus – 1.66 acres

833 W Weber – 3.73 acres

705 W Weber – 3.08 acres

Weber Avenue – 0.29 acres

855 W Weber – 1.97 acres

2135 South Airport – 0.33 acres

The City of Stockton is collaborating with the California Department of General Services (DGS) as the State continues development of affordable housing on a State-owned site located at 601 East Miner Avenue/ 622 E. Lindsay in Downtown Stockton. DGS released an RFP to the development community with the goal of facilitating development of 136 new multifamily dwelling units. Developer respondents are encouraged to try and achieve the greatest degree of affordability possible. A development agreement with the preferred developer was executed in early 2020. Grant funding was received for contamination clean up at the site in preparation for development.

Discussion

Not applicable.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Housing and Services for the Homeless	2020	2025	Affordable Housing Public Housing Homeless	City-wide	Homelessness	ESG: \$255,796	Tenant-based rental assistance / Rapid Rehousing: 22 Households Assisted Homeless Person Overnight Shelter: 935 Persons Assisted Homelessness Prevention: 23 Persons Assisted Other: 25 Other
2	Affordable Housing	2020	2025	Affordable Housing Public Housing Homeless	City-wide	Homelessness Affordable Housing	CDBG: \$2,056,688 HOME: \$2,683,365	Rental units constructed: 179 Household Housing Unit Rental units rehabilitated: 19 Household Housing Unit Homeowner Housing Rehabilitated: 5 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Support Economic Development	2020	2024	Non-Homeless Special Needs Non-Housing Community Development	City-wide Former Redevelopment Project Areas	Non-Housing Community Development 2	CDBG: \$955,180	Facade treatment/business building rehabilitation: 33 Business Jobs created/retained: 10 Jobs Businesses assisted: 15 Businesses Assisted Other: 1 Other
4	Public Services	2020	2025	Non-Homeless Special Needs Non-Housing Community Development	City-wide	Non-Housing Community Development 1	CDBG: \$720,000	Public service activities other than Low/Moderate Income Housing Benefit: 40668 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 178013 Households Assisted

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Housing and Services for the Homeless
	Goal Description	Provide housing and services for the City's homeless population, including homelessness prevention. Increase and maintain transitional housing opportunities. Expand housing first model to provide permanent housing units with intense wrap around services on-site.

2	Goal Name	Affordable Housing
	Goal Description	Preserve, improve, and expand the supply of decent affordable housing for lower-income households. Increase the supply of affordable multifamily housing. Provide homeownership opportunities for first-time buyers. Assist existing low-income owner-occupied households keep their homes safe and well maintained by providing rehabilitation assistance.
3	Goal Name	Support Economic Development
	Goal Description	Promote economic development activities that create, attract, and retain jobs and promote economic activity and vitality, especially those that provide economic opportunities for low- and moderate-income persons. Prioritize and expand job readiness programs targeting low-income youth, disabled persons, and homeless persons.
4	Goal Name	Public Services
	Goal Description	Ensure the provision of high-quality public services to support ongoing community development, including the provision of funding for fair housing services, among other activities. Actively and faithfully promote fair housing and investigate housing discrimination. Prioritize the maintenance and improvement of municipal services, facilities, and infrastructure.

Projects

AP-35 Projects – 91.220(d)

Introduction

The projects that the City will fund with CDBG, HOME, and ESG funds during the 2023-2024 program year (July 1, 2023 – June 30, 2024) are summarized below. The City will utilize funds available to address the goals outlined in the Consolidated Plan to address the priority needs and specific objectives. The projects will include project funding for affordable housing, public services, economic development, homelessness services, and enhancements to public facilities or infrastructure improvements. The previous years have aligned funding with the Consolidated Plan and have contributed toward substantial improvements in the lives and neighborhoods of Stockton's low-income residents and provided safe, decent housing for many who would not otherwise be able to afford it. The programs and activities funded in the FY 2023-24 Action Plan will build on prior successes and continue to meet the objectives of the 2020-2025 Consolidated Plan. In Program Year 2023-2024, the City will also continue its efforts to respond to the COVID-19 pandemic with resiliency efforts.

Projects

#	Project Name
1	CDBG Administration
2	CDBG Housing Program Delivery
3	CDBG Housing Programs
4	Debt Service - Section 108 Loan Repayment
5	Emergency Repair Loan Program
6	Economic Development
8	Public Services
9	HOME Administration
11	HOME Housing Loans
12	CHDO Set-aside Funds
13	ESG Entitlement 2023 Stockton
14	CDBG PROPERTY ACQUISITION

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The City has identified the lack of sufficient funding as the greatest obstacle to meeting the underserved needs. Prioritization of funding unfortunately denotes that some needs expressed by community members may not be addressed in this program year. Other noted obstacles in addressing community

needs are, but not limited to, current housing market, economic conditions, services available to support diverse population, cost of real estate, prevailing wage requirements, increased construction costs, limited supply chain, etc. During the next year, the City will continue to apply for funding and/or support applications by other organizations to expand affordable housing opportunities, homeless assistance and supportive services, and to meet the other needs of the low-income residents and neighborhoods.

AP-38 Project Summary
Project Summary Information

1	Project Name	CDBG Administration
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing Support Economic Development Public Services
	Needs Addressed	Homelessness Affordable Housing Non-Housing Community Development 1 Non-Housing Community Development 2
	Funding	CDBG: \$923,654
	Description	Staff and service delivery costs are associated with the implementation of various housing rehabilitation programs. Matrix Code: 21A, National Objective: NA Citation: 570.206
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	N/A - Administration
	Location Description	N/A - Administration
	Planned Activities	Administration costs directly related to administering the CDBG programs to ensure compliance with HUD activities.
2	Project Name	CDBG Housing Program Delivery
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$350,000
	Description	Staff and service delivery costs are associated with the implementation of various housing rehabilitation programs. Matrix Code: 14H National Objective: LMH Citation: 570.202
	Target Date	6/30/2024

	Estimate the number and type of families that will benefit from the proposed activities	Five (5) low-income households
	Location Description	City-wide
	Planned Activities	Delivery of Single-family Housing Repair Loan Program
3	Project Name	CDBG Housing Programs
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing
	Needs Addressed	Homelessness Affordable Housing
	Funding	CDBG: \$426,427
	Description	CDBG funds will be provided through various City housing programs for the improvement of low- and moderate-income housing city-wide. The Single-Family Housing Repair Loan Program assists in the rehabilitation of owner-occupied residences. The Multi-family Housing Program assists in the rehabilitation or the development of low- and moderate-income multi-family housing. Matrix Code: 14A/14B National Objective: LMH Citation: 570.202
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Five (5) low- to moderate-income families
	Location Description	City-wide
	Planned Activities	Rehabilitation, Multi-unit Residential
4	Project Name	Debt Service - Section 108 Loan Repayment
	Target Area	Former Redevelopment Project Areas
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$1,961,541

	Description	The City of Stockton received a Section 108 Loan that was used for the development of the Hotel Stockton, the Downtown Cineplex, Weber Block Plaza (Dean DeCarli Square), and the Mercy Housing affordable housing development. These funds represent the City's repayment of the loan funds and interest. Matrix Code: 19FCitation: 570.705(c)National Objective: NA
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	N/A
	Planned Activities	N/A
5	Project Name	Emergency Repair Loan Program
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Homelessness Affordable Housing
	Funding	CDBG: \$120,000
	Description	Funds are provided for the repair of immediate health and safety conditions that present a danger to the occupants of the home. The Emergency Repair Loan Program was designed to provide one-time emergency repair assistance to low-income property owners. Properties with an owner over the age of 65 or with special needs may be eligible for a grant. Repairs are limited to corrections of code enforcement violations listed in official documents issued by the City, the Fire Marshal, or the Health Officer stating the code violations present causing imminent danger to life, limb, property, or safety of the public or occupants. Matrix Code: 14A National Objective: LMH Citation: 570.202
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately four (4) low-income owner-occupied households may be eligible for rehab funding.
	Location Description	City-wide
	Planned Activities	Rehabilitation of single-unit residential

6	Project Name	Economic Development
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$955,180
	Description	Enhance the City's economic stability by investing economic growth initiatives that develop and strengthen small businesses and support local entrepreneurs.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	85 businesses
	Location Description	City-wide
	Planned Activities	Partnerships with business service providers to provide entrepreneurship and small business support including but not limited to the creation/retention of full-time equivalent jobs through grant programs. Provide grants to rehab the exterior of commercial/retail properties. Provide financial assistance to private for-profit businesses for economic development activities. Matrix Codes 14E, 18A, 18B, 18C National Objectives SBA, LMC, LMJ, SBS, and LMA Citations: 570.202; 570.203; 570.201
7	Project Name	Public Services
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$720,000

	Description	Invest in services and partner with agencies that provide public services to address priority goals and needs that provide essential services to the community. Matrix code 05A to 05ZNational Objective: Citation: 570.201(c); 570.208(a)
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	218,681
	Location Description	City-wide
	Planned Activities	General Public Service activities
8	Project Name	HOME Administration
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing
	Needs Addressed	Homelessness Affordable Housing Non-Housing Community Development 2
	Funding	HOME: \$245,000
	Description	Administration of HOME Program including oversight, management, and capacity building.Citation: 92.207
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	N/A - Administration
	Location Description	N/A
	Planned Activities	Administration of HOME program
9	Project Name	HOME Housing Loans
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing
	Needs Addressed	Homelessness Affordable Housing

	Funding	HOME: \$2,569,259
	Description	Financial assistance for the rehabilitation or development of low-income housing. The Housing Rehabilitation Program will be available to assist single-family dwelling homeowners to make repairs to their residence. Funds will also be available for multi-family rehabilitation or construction projects.Citation: 92.205 & 92.206
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	TBD; Subject to proposals received from NOFA.
	Location Description	City-wide
	Planned Activities	Financial Assistance for the rehabilitation or development of low-income housing. Goals entered under new construction, rehabilitated units, and housing for homeless.
10	Project Name	CHDO Set-aside Funds
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing
	Needs Addressed	Homelessness Affordable Housing
	Funding	HOME: \$264,106
	Description	Set-Aside funds are required by HUD HOME regulations for housing activities owned, developed, and/or sponsored by qualified Community Housing Development Organizations (CHDO).Citation: 92.205 & 92.206
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Subject to proposals received from NOFA.
	Location Description	City-wide
	Planned Activities	Financial assistance for the rehabilitation or development of low-income housing. goal is one unit for new construction, and one unit for rehab.
11	Project Name	ESG Entitlement 2023 Stockton

	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Public Services
	Needs Addressed	Homelessness
	Funding	ESG: \$275,046
	Description	Homeless services including, Shelter, Homeless Prevention, and HMIS costs. Citations: 576.100; 576.101; 576.102; 576.103; 576.104, 576.105; 576.106; 576.107; 576.108; 576.109;The following ESG activities will be funded.ESG Administration: \$19,250 Staff and service delivery costs are associated with the implementation of various housing rehabilitation programs. Shelter: St Mary's Interfaith Dining Room: \$76,500Shelter: Women's Center-Youth/Family Services: \$76,500: ESG funds will be used to support salaries to operate three shelters within Stockton for domestic violence victims, which provide shelter and supportive services to residents, including basic necessities and case management. Homeless Prevention: Central Valley Low Income Housing Corp: \$88,296: CVLIHC will provide Homelessness Prevention services to individuals within Stockton; ESG funds will be used for direct rental support for eligible program participants, including case management to support participants and administrative costs such as staffing associated with the program.HMIS Administration: Central Valley Low Income Housing Corp: \$14,500: ESG funds will be used to help coordinate and maintain the HMIS software, including data analytics, grantee support, and helping cover licensing costs.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	980 homeless people will receive shelter or services, or receive rent assistance and stabilization services
	Location Description	City-wide
	Planned Activities	Administration, emergency shelter operations/transitional housing, street outreach, HMIS, rapid re-housing, and homeless prevention
12	Project Name	CDBG PROPERTY ACQUISITION
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing

	Funding	CDBG: \$1,510,261
	Description	Property acquisition for the development of Affordable Housing. Matrix Code: 01 Acquisition National Objective: LMH Citation: 570.201(a)
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City does not allocate funds to specific geographic target areas; rather, the City makes resources available on a city-wide basis, but provides additional outreach and recruitment in areas with high concentrations of unmet needs.

Geographic Distribution

Target Area	Percentage of Funds
City-wide	98
Former Redevelopment Project Areas	2

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

At this time, the City does not have any official HUD designated geographic based priority areas. The City of Stockton allocates resources on a city-wide basis. For example, the City's housing rehabilitation program is equally available to qualifying residents in all geographic subareas, with eligibility being determined based on the income of the household receiving assistance. When funding area-based activities, the City funds such activities using HUD's low/mod Census Block Groups and/or on a limited clientele National Objective basis, as applicable. The City intends to provide public infrastructure investments in areas identified in the recently updated 2022 slum/blight map that allows for prioritization in distressed neighborhoods with higher community needs.

Discussion

N/A

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The 2020-2025 Consolidated Plan establishes housing strategies for renter, owner, and homeless populations and Five-Year goals for each of these strategies. The City operates its various housing programs based on these strategies, which have been prioritized to guide the allocation of resources. Consistent with the priorities outlined in the Consolidated Plan, the City will undertake or fund the following activities to address housing needs:

- Multi-Family Loan Pool
- CHDO Set-Aside
- Housing Rehabilitation Program
- Emergency Repair Program
- San Joaquin Fair Housing

One Year Goals for the Number of Households to be Supported	
Homeless	105
Non-Homeless	118
Special-Needs	0
Total	223

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	25
The Production of New Units	179
Rehab of Existing Units	19
Acquisition of Existing Units	0
Total	223

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

Rental Assistance: 25 Households

- Central Valley Low Income Housing Corporation (CVLIHC) will provide homeless prevention rental assistance to 25 households (target group: non-homeless)

Production of New Units: 179 Units

- Sonora Square: 37 (target group: homeless population)
- Calaveras Quarters: 68 Units (target group: homeless population)
- Grand View Village: 74 (target group: homeless population)

Rehab of Existing Units: 19

- Assist 5 income eligible households with housing rehabilitation assistance (target group: non-homeless).
- Villa d' Flore: Rehabilitation of 14 units in a multifamily housing project (target group: non-homeless).

AP-60 Public Housing – 91.220(h)

Introduction

The City of Stockton and the Housing Authority of San Joaquin County (Housing Authority) maintain a strong partnership built on the common goal and priority of providing affordable housing opportunities for Stockton's low-income residents. Within the last five years, the Housing Authority has applied for affordable housing funding through the City of Stockton's NOFA process and has been awarded upwards of \$4.5 million to assist in the financing of three of its affordable housing projects. A Housing Authority city-funded project includes phases II and III of their 394-unit Sierra Vista housing complex targeting extremely low-income households at 30% AMI. As well as the demolition and rehabilitation of its Conway Homes project, a 9-unit development.

Actions planned during the next year to address the needs to public housing

The Housing Authority will be eligible to apply for funding future City NOFA's when issued. Additionally, the Housing Authority anticipates applying for funding for Phase III of Sierra Vista Homes, to demolish public housing units and replace it with mixed finance property consisting of public housing and project-based vouchers with 73 units. The Housing Authority will continue to seek funding sources to replace aging housing stock at the public housing sites with new affordable housing units.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The City continues to maintain a partnership with the local Housing Authority to assist public housing residents to achieve homeownership.

Under the Housing Authority's Family Self-Sufficiency (FSS) program, public housing residents can participate in the program and receive referred to services and establish a family escrow savings account. The FSS program allows residents to establish an escrow savings account based on earned income. Contributions can be made to the escrow savings account monthly, as long as there is an increase in earned income during their participation in the FSS program. FSS participants have utilized the escrow savings account to accomplish homeownership goals, such as credit repair, credit counseling, and down-payments on a home. The Housing Authority will continue to collaborate with the City to provide supportive services for potential homeownership families. The Housing Authority also has a homeownership program, assistance is available to Housing Choice Voucher (HCV) participants who meet the homeownership eligibility requirements. The homeownership program allows first-time homebuyers to use the voucher subsidy to meet monthly homeownership expenses. HCV participants interested in applying for the HCV Homeownership program must meet the following program criteria:

- Must be a participant in the HCV program for at least one year in San Joaquin County.
- Must be a first-time homebuyer.
- Meet the minimum employment and income requirements.

- Must be employed for at least 30 hours per week (except for disabled).
 - Must earn at least \$11,310 annually (welfare income not included).
 - Must not have defaulted on a mortgage under the HCV Homeownership Program.
- The homeownership program has a maximum term of 15 years. There are exceptions for disabled families. This program is limited.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Housing Authority of San Joaquin County is not designated as “troubled” by HUD.

Discussion

N/A

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

In 2018, the Stockton City Council declared a local homeless emergency directing the City Manager and all applicable city departments to develop strategies and solutions to address homelessness. At that time Stockton (City) counted 311 unsheltered homeless individuals as residents of its community. Since then, much has been accomplished, but despite these efforts the number of people experiencing homelessness and living unsheltered on the street remains high. Most recent Point-in-Time (PIT) Count findings from a survey of homeless individuals conducted in January of 2022, found an estimated 1,370 homeless individuals in Stockton, of whom nearly 900 were unsheltered (65%).

The City actively works with the Stockton/San Joaquin Continuum of Care #511, to investigate the needs and concerns of those experiencing homelessness, develop strategic approaches to prevent and end homelessness, and to prioritize investments in housing, programming, and capacity-building initiatives. Jointly, the City and the CoC have developed and adopted a Regional Plan describing the County-wide Community Response Prevent and End Homelessness, and the City has created an Action Plan describing investments through 2025/2026, to address the City's commitment to meeting the regional goals and objectives. The Regional Plan outlines the following three major objectives:

Major Objectives

1. Establish a Coordinated and Engaged Regional System
2. Increase Access and Reduce Barriers to Homeless Crisis Response Services
3. Ensure Households Experiencing Homelessness Have Access to Affordable and Sustainable Permanent Housing.

Locally, the City is prioritizing deep investments in funding for affordable housing acquisition, development, or rehabilitation to serve low income and homeless individuals and families. Of available homeless resources, the City is allocating just under half to housing development, nearly a third to operating subsidies for emergency shelters, temporary, and permanent housing programs, and the remainder (about a fifth) to increasing the local capacity of the City and its community partners to work more effectively and equitably.

Measurable Outcome Goals

Reducing the number of persons experiencing homelessness.

Over 3 years, show 5,216 total people accessing services who are experiencing homelessness annually, representing 921 fewer people and a 15% reduction from the baseline.

Reducing the number of persons experiencing unsheltered homelessness on a daily basis.

Over 3 years, show 1,247 total people experiencing unsheltered homelessness daily, representing 108 fewer people and an 8% reduction from the baseline.

Reducing the number of persons who become newly homeless.

Over 3 years, show 2,528 total people become newly homeless each year, representing 446 fewer people and a 15% reduction from the baseline.

Increasing the number of people exiting homelessness into permanent housing.

Over 3 years, show 724 total people exiting homelessness into permanent housing annually, representing 167 more people and a 30% increase from the baseline.

Reducing the length of time persons remain homeless.

Over 3 years, show 85 days as the average length of time that persons are enrolled in street outreach, emergency shelter, transitional housing; and the time prior to move-in for persons enrolled in rapid rehousing and permanent housing programs annually, representing 6 fewer days and a 7% reduction from the baseline.

Reducing the number of persons who return to homelessness within two years after exiting homelessness to permanent housing.

Over 3 years, show no more than 6% of people return to homelessness within 2 years after having exited homelessness to permanent housing, representing 1% fewer people and a 1% reduction from the baseline.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City conducts a variety of activities to engage and listen to unhoused people. This includes:

Ongoing program planning and monitoring, including regular reviews of HMIS data, discussion groups with unhoused individuals, and site visits to encampments and emergency shelters.

Biennial (every other year) counts of unsheltered individuals through the Point in Time Count process. Dozens of City staff, officials, and community members are deployed to count and talk with those experiencing homelessness. A census-type survey is conducted with as many homeless persons as possible.

Annual investigations. Last year, this included a 20-30 minute structured interview of unsheltered individuals about their experiences during COVID, barriers to entering emergency shelters, and needs and experiences pertaining to health and wellness. This year, investigations are focusing on racial and ethnic disparities among the unhoused populations.

All CoC meetings are open to the public and people with lived experiences in homelessness make up a portion of the Board and / or regularly attend meetings.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City relies upon a talented cadre of local program partners and their staff to provide emergency shelter and transitional housing needs of homeless persons. Its primary roles is to fund and monitor program operations to ensure programs are achieving overall objectives and having a positive impact as determined by changes to the measurable program goals.

Additionally, the City works with stakeholders and regional partners to prioritize the strategies/actions needed to meet objectives and achieve target goals. The City is currently focused on several major strategies pertaining the emergency sheltering and transitional housing: 1) increase bed/unit capacity, 2) enhance operations, 3) secure additional program resources.

Increase Bed/Unit Capacity

Point in Time Count data shows that there is an insufficient count of shelter beds or emergency housing units for individuals and families. The biggest needs are for shelter beds for single women and people who are medically fragile, or otherwise vulnerable, and family units that allow fathers to stay with their families. The City is currently pursuing projects to create capacity for about 500 additional homeless persons to receive safe sheltering through two major shelter expansion projects. The new shelter spaces mark an abrupt shift from congregate, dormitory style programs, to shelters that offer non-congregate private spaces for individuals and couples and more accommodations for people with mobility limitations or other serious and ongoing health care needs. In addition to the creation of more privacy all shelter expansions are designed to accommodate pets and provide options to store personal possessions.

Enhance Operations

The City is working with local homeless service providers to implement programming geared toward housing navigation and intensive case managers. In part, this is being accomplished with a shift from a model of night-by-night shelter operations to the creation and delivery of full service (24/7) navigation centers. Site development and construction is underway with build-out of the newly designed shelter spaces, and associated program offerings, anticipated by 2025.

Secure Program Resources

The City continues to seek and procure additional state and regional funding to address homelessness. In addition to federal CDBG and ESG resources, the City has been awarded three rounds of temporary state funds and is working in partnership with homeless programs to help them develop the certifications and capacity to provide Medicare/Medicaid reimbursable services under the State's CalAIM project.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Federal regulations encourage providing homeless persons and households with housing as quickly as is practicable. The City has adopted a housing first model, with rapid rehousing and preventative services, emerging as the most effective strategies to shorten or ameliorate the risk of homelessness. Core strategies include 1) strengthening the coordinated entry system, and 2) investing in housing stabilization, rental assistance.

Coordinated Entry

Through non-federal funds, the City is making investments into the development and build-out of a county-wide coordinated entry system. Additionally, the City is requiring all homeless service partners to upload data into the HMIS and work through the coordinated entry system to find housing placements.

Homeless Prevention / Rapid Re-housing

During these difficult economic times the City is making deep investments to help individuals and families remain safe and stable in their homes. This includes:

- \$315,000, in CDBG investments (43.75%) of public services allocations to fund programs that promote food security.
- \$105,000, in CDBG investments (14.58%) of public services allocations to fund fair housing programs that promote landlord / tenant mediations and prevent evictions.
- \$88,296, in ESG investments (34.5%) of allocations to fund housing relocation and stabilization services and short- and/or medium term rental assistance necessary to prevent an individual or family from moving into an emergency shelter (at risk of homelessness).

Additionally, the City recognizes that the establishment of new, permanent and permanent supportive housing is the most effective way to end homelessness. Investments in affordable housing for homeless individuals is discussed above in section, AP-55.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Homeless prevention activities targets the following populations who can reasonably be expected of becoming self-sufficient within three months or less:

- Households forced to vacate rental properties that enter foreclosure;
- Households at imminent risk of becoming homeless due to sudden and substantial loss in income out of the control of members of the household;
- Households at imminent risk of becoming homeless due to a sudden and substantial increase in utility costs which is not due to the activity of one or more members of the household;
- Households forced to vacate rental housing condemned by local housing officials, when condemnation is not a result of the activity of one or more household members;
- Households at imminent risk of becoming homeless due to a traumatic life event, such as death of a spouse or primary care giver or recent health crisis that prevented the household from meeting its normal financial responsibilities;
- Households with at least one adult employed, at imminent risk of becoming homeless due to factors not related to activity of one or more household members; or
- Households with children currently living in an emergency shelter, in locations not meant for human habitation, or fleeing domestic violence.

Additionally, the City is working with San Joaquin County Whole Person Care health outreach workers, hospital discharge planners, the custody division, and local street outreach teams to identify people who are at risk of discharge or release from health care facilities or institutions into homelessness. Funding for these initiatives is through sources other than the federal funds describes through this Action Plan, but are a critical component of the homeless continuum. Investments through federal and state funds as

well as local philanthropies are funding:

- Mobile teams, comprised of highly trained health outreach workers that are meeting people prior to discharge from hospitals or release from custody settings to develop a plan to address ongoing housing, health, and care stabilization needs.
- Mobile teams, working in partnership with law enforcement to de-escalate situations involving homeless individuals or people with mental health disorders / co-occurring substance use disorders and avert arrest for low level quality of life violations.
- Rental supplements and permanent housing vouchers, enabling people with serious mental illnesses or other permanent disabilities to pay local market rents.

Finally, the City is committed to ending homelessness among youth and those fleeing violence. This year's ESG funding commitments targets emergency programming for these vulnerable populations including \$153,000 in ESG investments (60%) toward organizations whose programs include social services for low-income women, families, and youth, and \$102,796 (40%) to an organization providing homelessness prevention and rapid re-housing services to low-income individuals and families.

Discussion

The City of Stockton is committed to actions that prevent and end homelessness. More information about the City's plans can be found on the city website at:

<https://www.stocktonca.gov/government/departments/manager/homelessness/default.html>

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

It is often in the public interest for local governments to impose regulations concerning the scope and characteristics of development as a method for protecting public health and general welfare. However, government regulations, policies, and procedures can also act to constrain the development of otherwise desirable land uses. Regulations designating the type and location of housing, for example, can potentially constrain the ability of housing developers to provide higher-density housing that would be more affordable to lower income households. Similarly, building codes and other requirements can significantly increase the cost to develop housing, or make the development process so arduous as to discourage potential housing developers. California housing law requires that each jurisdiction include an analysis of governmental constraints to affordable housing development as a required component of the adopted General Plan Housing Element.

To address these challenges the City is:

- Updating the Housing Element of the General Plan, with a specific purpose and intent to reduce barriers to affordable housing; and
- Creating a Housing Action Plan, to serve as a toolbox for property owners and developers seeking quick guidance on allowable uses, special project areas, and funding resources.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The greatest impact will be seen through the updated Housing Element which includes the following policy updates to ameliorate the negative effects of prior land use policies and to eliminate barriers to affordable housing. Proposed policy changes include, but are not limited to:

- A commitment to prevent downzoning parcels for low density usages, unless replaced by comparably zoned land elsewhere.
- Encouraging residential densities at the high end of allowable density range and encourage mix-use residential-office and residential-retail projects.
- Encouraging the development of accessory dwelling units.
- Promoting the development of multifamily housing for all income groups
- Pursuing opportunities which integrate sites for affordable housing throughout residentially designated areas and avoid concentration of low-income housing units.
- Providing sewer and water service for developments that include lower income housing units.
- Promote infill development, brownfield developments, and transit-oriented development.
- Reduce “counter barriers” to affordable housing, including streamlining the permit review and approvals process for affordable housing and waiving or deferring eligible fees to help offset development costs for affordable housing.

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

Actions planned to address obstacles to meeting underserved needs

The City will work in partnership with several non-profit and public service agencies to address the needs of the underserved populations in the City of Stockton. The City will fund several agencies to provide food assistance, youth services, shelter, affordable/fair housing services, and/or services for the homeless and vulnerable populations.

Actions planned to foster and maintain affordable housing

The City will leverage funds we receive with other resources and use our programs in conjunction with other city initiatives, including our Single-Family Rehabilitation Loan Program. The City will continue to use its Notice of Funding Availability NOFA process to solicit eligible affordable housing projects to fund. The City continues to pursue funding at the State level, including Homekey, Pro-housing Incentive Pilot Program, and the Homeless Housing, Assistance and Prevention (HHAP) funds to advance affordable housing developments.

Actions planned to reduce lead-based paint hazards

Actions planned to reduce the number of poverty-level families

The City's Consolidated Plan includes an Antipoverty Strategy. The activities in the City's Antipoverty Strategy can be classified under two categories: Economic Development and Supportive Service Programs. The Consolidated Plan recognizes that in order for households to transition from unemployment or low-income positions to median income positions, there needs to be vocational training, resource management, and life skill training. The Plan also recognizes that while households are obtaining the training, the families will continue to need assistance in meeting their basic needs. In these difficult economic conditions, the City's Community Development Committee determined that allocating resources to those agencies that will provide some of the most basic services, such as food, youth services, and services to accommodate vulnerable populations such as women, the elderly, and transition age youth, was the best way to assist households.

Consistent with the City's Antipoverty Strategy, the City proposes to fund the following programs:

- Four programs that will provide food and nutritional education to homeless and low-income individuals;
- Two programs that will provide youth services in the area of tutoring, counseling, and literacy and creative writing services.

- One fair housing program that will provide to tenant and landlord mediation services as well as workshops for tenants and landlords to be informed of their rights.
- Two programs to serve vulnerable populations such as transition age youth, elderly, and women.
- One project to assist homeless individuals with basic hygiene services and connect them with local resources.
- operational and renovation costs for two emergency shelters for women and children. In addition to providing shelter, these organizations also provide services that assist individuals in achieving self-sufficiency; and
- Fund homelessness prevention and re-housing activities which will help keep households in their homes or provide assistance to re-housing households that have become homeless.

Actions planned to develop institutional structure

The City of Stockton Economic Development Department is the lead agency responsible for the development of the Consolidated Plan and Annual Action Plan. The Economic Development Department is also the primary agency responsible for the administration and management of programs and projects covered by these Plans.

During the 2023-2024 Program Year the City will:

- Continue to work with private housing developers to expand the availability of affordable housing, including pursuing joint funding opportunities;
- Continue to participate in the San Joaquin County Continuum of Care Committee;
- Continue implementation of the Housing Element;
- Continue to work with affordable housing developers to ensure that their developments include the facilities to provide the services needed for the residents;
- Continue to work with participants in the establishment of goals and objectives of the Consolidated Plan to ensure measurable progress is made, and
- Update the website, providing handouts and program guidelines to keep the public informed; and
- Pursue training opportunities for staff.

In addition, the City will ensure staff members performing environmental reviews are certified through HUD's Web-Based Instructional System for Environmental Review (WISER) platform.

Actions planned to enhance coordination between public and private housing and social service agencies

To facilitate preparation of the Annual Plan, the City of Stockton consulted with various agencies and organizations located throughout the city and county, including public and assisted housing providers and developers, an assortment of government agencies, as well as private and public health, mental health, and social service agencies. In most cases, these consultations represent a continuation of ongoing interactions between the City and the agency or organizations described.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	300,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	300,000

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	80.00%

HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City does not propose to use HOME funds to provide any assistance in a form not included in Section 92.205(b).

Developer proposals for HOME funds are accepted through a Notice of Funding Availability (NOFA) identifying the purpose and type of funds available, with announcements sent via email, posted to the City's website, social media pages, and placement of a public notice in the local newspaper, the Record, and Spanish publication the Latino Times. To encourage a variety of project types and locations, the City's HOME program does not limit beneficiaries. Applications are made available electronically through the City's online grant application program Neighborly. The competitive applications are reviewed by City staff and/or a committee of regional housing experts and representatives from the community. The applications are scored through set criteria, including eligible uses, commitment and expenditure deadlines, developer capacity, experience, concurrence with established goals and policies, leveraging, or other requirements. Recommendations for funding are made to the City Council, which holds a publicly noticed hearing to vote on moving forward with the recommended allocations. The City uses a variety of methods to conduct outreach to consumers for all our housing programs. Economic Development Department staff also participates in various housing workshops and homeownership fairs throughout the year. Notices of these events are sent to the Housing Authority.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

The City does not currently use HOME funds for homebuyer activities, however in the case HOME funds are used, the City will follow the requirements pursuant to 24 CFR 92.254(a)(5)(ii). The City will require that units assisted with HOME funds must remain affordable to low-income purchasers for a period based upon the amount of HOME funds provided for the property. To ensure compliance with this affordability period, recapture provisions are incorporated into each property loan and regulatory agreement. Repayment of the HOME subsidy and any accrued interest is due upon the sale or transfer of the property during the affordability period. When the recapture requirement is triggered by a sale (voluntary or involuntary), and there are insufficient net proceeds to repay the City's HOME investment, the City will accept any amount of net proceeds (net proceeds are defined as the sales price minus any senior loan repayment and any closing costs). The City will reinvest these recaptured subsidies into other eligible housing activities.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

In compliance with 92.254(a)(4), the city will follow HOME affordability period requirements as a minimum standard. The HOME-assisted housing must meet the affordability requirements for not less than the applicable period specified in the following table, beginning after project completion.

Homeownership assistance HOME amount per-unit & minimum period of affordability in years

Under \$15,000 - 5 years

\$15,000 to \$40,000 - 10 years

Over \$40,000 - 15 years

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

For multifamily projects in the City of Stockton, when loaning HOME funds to rehabilitate the units if refinancing is necessary to permit or continue affordability under § 92.252, with a minimum affordability period of 15 years. The project application must demonstrate: that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing; require a review of management practices to demonstrate that disinvestment in the property has not occurred, that the long term needs of the project can be met and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated; state whether the new investment is being made to maintain current affordable units, create additional affordable units, or both; insure that HOME funds cannot be used to refinance multifamily loans made or insured by any Federal program, including CDBG.

5. If applicable to a planned HOME TBRA activity, a description of the preference for persons with special needs or disabilities. (See 24 CFR 92.209(c)(2)(i) and CFR 91.220(l)(2)(vii)).
6. If applicable to a planned HOME TBRA activity, a description of how the preference for a specific category of individuals with disabilities (e.g. persons with HIV/AIDS or chronic mental illness) will narrow the gap in benefits and the preference is needed to narrow the gap in benefits and services received by such persons. (See 24 CFR 92.209(c)(2)(ii) and 91.220(l)(2)(vii)).

7. If applicable, a description of any preference or limitation for rental housing projects. (See 24 CFR 92.253(d)(3) and CFR 91.220(l)(2)(vii)). Note: Preferences cannot be administered in a manner that limits the opportunities of persons on any basis prohibited by the laws listed under 24 CFR 5.105(a).

Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

1. Initial Evaluations.

The sub-recipient must conduct an initial evaluation to determine the eligibility of each individual's or family's eligibility for ESG assistance and the amount and types of assistance the individual or family needs to regain stability in permanent housing.

2. Determining Program Participant Eligibility.

The following criteria shall be used to determine program participant eligibility for assistance under ESG:

- Income shall be annualized and calculated based on the standards for the Housing Choice Voucher Program (Section 8 Eligibility Standards);
- Assessment and approval by an authorized program case manager;
- Household income (adjusted by size) below 30% of area median income for prevention assistance; and
- Household must either be homeless (using the federal definition) or at risk of losing housing and show a reasonable expectation of becoming self-sufficient within three months.

Assistance will be provided to eligible households in the order in which they are interviewed. If a household is eligible and funds are available, they will receive assistance. All rapid re-housing clients must meet HUD's homeless definition (24 CFR 576.2) – person(s) staying on the streets, in a shelter, or someone exiting an institution after a stay of 90 days or less and who resided in a shelter or on the streets before the institution. All prevention clients must be able to demonstrate at least two HUD defined risk factors and that except for this program, the household will become homeless.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The Continuum of Care has developed a Coordinated Entry System for access to housing opportunities. The newly created CES is still in development. Upcoming development work includes the linkage and articulation between the HMIS system (Clarity by BitFocus) to the CES. Over the past nine months (since inception) over 2,000 households have been enrolled into the CES and 117 households have received permanent housing and 101 youth have accessed transitional housing through the program. Upcoming work is also needed to address the prioritization process. Initially the CoC selected the VI-SPDAT to assist in the assessment process. However, recent concerns

expressed by the developer of the instrument are leading to new conversations about best methods to coordinate and prioritize access to housing resources.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The City of Stockton will implement the Emergency Solutions Grant (ESG) program in accordance with The McKinney-Vento Homeless Assistance Act as amended by S.896 HEARTH Act of 2009, found at 24 CFR Part 576, to ensure eligible activities are selected and financially managed in accordance with local and federal requirements. The City of Stockton's process for implementing the ESG program is laid out below.

Public Participation. Community Development Committee (CDC) holds annual Kick-off and City conducts Needs Assessment Meeting Late Fall (see Action Plan Schedule, and Public Participation Plan)

- Update annual Action Plan Schedule, Application & Instructions
- Issue Public Notice
- Issue NOFA
- Post agenda
- Publish Application & Instructions PDF on City website; provide hard copies for front counter Applications for Funding. Annual Applications Submitted Mid-January (see Action Plan Schedule)
- Staff reviews applications for eligibility, completeness, ESG requirements per Application Instructions, consistency with the Consolidated Plan, and 24 CFR Part 576
- CDC holds meetings for review and to make recommendations to City, staff provides final funding recommendations to City Council at a public hearing; agendas posted with Clerk before each meeting, February-April
- Issue Public Notice

- Distribute draft Action Plan requesting public comment
- Subrecipients sent notification correspondence in advance of Council hearing

Award Funds. City Council reviews CDC funding recommendations at publicly noticed meeting and votes, April

- Action Plan is submitted to HUD via IDIS
 - Award letters sent to subrecipients following AAP submittal, including CFDA number
- Agreements. Draft agreements with subrecipients and route for signatures, Summer
- Prepare environmental documentation
 - Once agreements executed, subrecipients sent notice to proceed
 - Set up: Purchase orders once HUD grant agreement executed, Internal HTE and IDIS input
- Grant Administration. Administer grants as required per 24 CFR Part 576
- Review and process invoices for reimbursement (see City's Administrative Directive for processing payments and Economic Development Department Payment Processing Procedures)
 - Review expenditure deadlines and requirements

- Provide technical assistance to subrecipients, as needed
- Close-out projects as each one wraps up

Monitoring. Monitor subrecipients per Monitoring Schedule and Monitoring Procedures

Reporting. Follow CAPER schedule for end of year reporting requirements

- Gather accomplishment data

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

Planning for homeless services is largely conducted in conjunction with the Continuum of Care which currently includes several members who have lived experiences with homelessness who sit on the Board of Directors or actively participate in workgroups and committees. Additionally, City staff participate in community discussion groups, listening sessions, and site visits to encampments and shelters to engage and consult with homeless individuals on proposed policies, program funding decisions, and service needs. Finally, program demonstration of paid staff and/or board members with lived experiences in homelessness is taken into consideration during grant review and funding considerations.

5. Describe performance standards for evaluating ESG.

As the largest city within the San Joaquin CoC area, the City of Stockton maintains a seat on the CoC governing body and participates in consultation with the San Joaquin County Community Development Department, and other participating agencies and organizations, regarding the coordination of ESG funding, including how ESG funds are allocated and the identification of performance standards and evaluation outcomes. Under the CoC, the primary contact for the collaborative application is the Central Valley Low Income Housing Corporation (CVLIHC), which is also responsible for administration of the Homeless Management Information System (HMIS).

N/A

Attachments

Citizen Participation Comments

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City Of Stockton Economic Development
400 F. Main ST # 4
Stockton CA 95202-3002

STATE OF WISCONSIN, COUNTY OF BROWN

The Record, a newspaper of general publication, printed and published daily in the City of Stockton, County of San Joaquin by the Superior Court of the County of San Joaquin, State of California, under the date of February 26, 1952, File No. 52857, San Joaquin County Records, that the notice of which the annexed is a printed copy (set in type not smaller than nonpareil), has been published each regular and entire issue of said newspaper and not in any supplement thereof in issues dated:

08/03/2023

Sworn to and subscribed before on 08/03/2023

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**AVISO DE AUDIENCIA
PÚBLICA
CIUDAD DE STOCKTON
Enmienda Sustancial al
Plan de Acción Anual de
2023-2024**

Tercera Enmienda Sustancial al Plan de Acción Anual de 2020-2021

Se da aviso por este medio con una audiencia pública para considerar las siguientes acciones: 1. La enmienda al Plan de Acción Anual de 2023-2024 de la Ciudad de Stockton 2. La tercera enmienda al Plan de Acción Anual de 2023-2024 de la Ciudad de Stockton. La audiencia pública se llevará a cabo el 17 de octubre a las 3:30 p.m. en las Cámaras del Consejo de la Ciudad de Stockton (425 N. El Dorado Street, Stockton, CA 95202). Las Enmiendas a los Planes de Acción Anual serán presentadas al Community Development Committee (CDC) de la Ciudad de Stockton el 12 de septiembre de 2023 a las 3:00 p.m. ubicada en la Sala de Audiencia de la Biblioteca César Chávez (425 N. El Dorado St., Stockton, CA 95202). Los Planes de Acción Anual describen las metas y actividades que se completarán en el año del programa en relación con el uso de la de Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), y Emergency Solutions Grant (ESG) del Departamento de Vivienda y Desarrollo Urbano de los Estados Unidos (HUD). El propósito de la enmienda sustancial al Plan de Acción Anual 2023-2024 es para redistribuir los fondos del CDBG a actividades elegibles nuevas o existentes para ayudar a cumplir con gastos mínimos para el año 2023-2024. El propósito de la tercera enmienda sustancial al Plan de Acción Anual 2020-2021 es para redistribuir los fondos de la Ley CARES para ayudar a cumplir con los planes de gasto del programa. Después de la audiencia pública, el período de comentarios de 30 días comienza el 17 de septiembre de 2023 y finaliza el 17 de octubre de 2023. Copias de las enmiendas estarán disponibles para el público a partir del 17 de septiembre en los siguientes lugares: Departamento de Desarrollo Económico, 400 E. Main Street, 4th Floor, Stockton, o en línea en www.stocktonca.gov/housing. Todos los comentarios sobre las enmiendas a los Planes de Acción Anual deben recibirse para el 17 de octubre de 2023 antes de las 5:00 p.m., y deben enviarse a Ciudad de Stockton, Departamento de Desarrollo Económico por correo electrónico a Economic.development@stocktonca.gov, por teléfono a (209) 937-6315, o en persona a 400 E. Main Street, 4º Piso, Stockton 95202. Acusos por la Ley de Estadounidenses con Discapacidades (ADA): En cumplimiento con la Ley de Estadounidenses con Discapacidades, si necesita asistencia especial para participar en esta reunión, comuníquese con el Departamento de Desarrollo Económico al (209) 937-6315. La notificación 30 días antes de la reunión permite

Irán a la Ciudad hacer arreglos razonables para asegurar la accesibilidad a este reunión. De acuerdo con los requisitos del Título II de la Ley de Estadounidenses con Discapacidades ("ADA") de 1990, la Ley de Empleo Justo y Vivienda ("FEHA"), la Ley de Rehabilitación de 1973 (según enmendada), la sección 11135 del Código de Gobierno y otras leyes estatales, la Ciudad de Stockton no discriminará a las personas por discapacidad en los servicios, programas o actividades de la Ciudad. Para obtener más información, visite el sitio de web de la Ciudad de Stockton o <http://www.stocktonca.gov/equality/departmentalhumanresources/riskservices/risk%20aid.html>, Igualdad de Oportunidades de Vivienda: la Ciudad de Stockton ofrece y apoya un programa de publicidad y marketing afirmativo es el que no hay barreras para obtener vivienda debido a la raza, el color, la religión, el sexo, la discapacidad, estatus familiar, u origen nacional.
ELIZA R. GARZA, CMC
CITY CLERK
CITY OF STOCKTON
9219021 9/20/21

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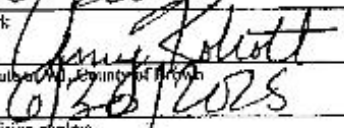
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STATE OF WISCONSIN, COUNTY OF BROWN

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09/03/2023

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NOTICE OF PUBLIC HEARING
CITY OF STOCKTON
2023-2024 Annual Action Plan Subdivision Amendment
2023-2024 Annual Action Plan Subdivision Amendment II

Notice is hereby given that a public hearing is being held on the following subject: City of Stockton's amendment to the 2023-2024 Annual Action Plan (AAP) I, City of Stockton's amendment to the 2023-2024 Annual Action Plan (AAP) II. The public hearing will be held on October 17, 2023 at 5:30 a.m. in the Stockton City Council Chambers (405 N. El Dorado Street, Stockton, CA 95202). The Annual Action Plan Amendments will also be presented to the City of Stockton Community Development Commission on September 18, 2023 at 2:30 p.m. located in the Southwest Division South of the Congress Library (405 N. El Dorado St., Stockton, CA 95202). The Annual Action Plan Amendments describe the goals and activities to be completed in the program year as if referred to the use of Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) funding from the U.S. Department of Housing and Urban Development (HUD). The purpose of the 2023-2024 Annual Action Plan Subdivision Amendment is to re-evaluate CDBG funds to new or existing eligible activities to assist in meeting 2023-2024 CDBG time-line expenditure thresholds. The purpose of the 2023-2024 Annual Action Plan Subdivision Amendment is to moderate CARES Act funds to assist in meeting program expenditures. Proceeding this meeting, a 30-day public comment period will begin from September 17 to October 17, 2023. Copies of the amendments will be available to the public on September 17 in the following locations: Economic Development Department, 405 N. Main St., 4th Floor, Stockton, CA 95202 or www.stockton.gov/development. All comments on the AAP amendments must be received on or before October 17, 2023 by 4:00 p.m. and sent to the City of Stockton's Economic Development Department via email at economicdevelopment@stockton.gov, by phone at (209) 533-4323 or in person at 405 N. Main St., 4th Floor, Stockton, CA 95202.

ADA ACCESS: In accordance with the Americans with Disabilities Act, it will need special assistance to participate in this meeting, please contact the Economic Development Department at (209) 533-4323. Notices on 72 hours prior to the meeting will enable the City to make reasonable arrangements to ensure accessibility to this meeting. In accordance with the requirements of Title II of the Americans with Disabilities Act (ADA) of 1990, the Fair Employment & Housing Act (FEHA), the Rehabilitation Act of 1973 (as amended), Government Code section 11180 and other applicable codes, the City of Stockton will not discriminate against individuals on the basis of disability in the City's services, programs, or activities. For more information, please visit the City of Stockton website at <http://www.stockton.gov/government/development/development>.

Equal Housing Opportunity: The City of Stockton prohibits any efforts on advertising, advertising and marketing program in which there are no barriers to eliminate racism because of race, color, religion, sex, handicap, family status, or national origin.

ELIZABETH A. SARGA, DMC
CITY CLERK
CITY OF STOCKTON
09/20/2023

Resolution No. 2023-10-17-1601

STOCKTON CITY COUNCIL

RESOLUTION APPROVING THE THIRD AMENDMENT TO THE 2020-2021 ANNUAL ACTION PLAN; AND AN AMENDMENT TO THE 2023-2024 ANNUAL ACTION PLAN

The US Department of Housing and Urban Development (HUD) requires the City of Stockton, as a recipient of HUD program funds, to develop an Annual Action Plan (AAP) that describes the proposed activities to be funded through any of its three funded programs: Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), and Emergency Solutions Grant (ESG); and

The City's AAP details its funding objectives for these programs and identifies the specific projects/activities to which HUD resources will be allocated to; and

At the City's discretion, HUD allows the City to amend an AAP as needed if the City determines that planned activities are no longer feasible and/or will not meet planned objectives; and

Through this item, staff is proposing two Annual Action Plan Amendments: 1) a third amendment to the City's Fiscal Year 2020-2021 AAP; and 2) an Amendment number 1 to the 2023-2024 AAP; and

The CDBG-CV funds identified in the 2020-2021 AAP are being recommended for reallocation to support activities that align with community needs in the aftermath of the COVID-19 pandemic. The lack of access to healthy food and avenues for distribution remains a barrier for many Stockton households. Through the utilization of CDBG-CV funds for activities that address food insecurity, the community will be better prepared to face a future pandemic and provide the necessary resources for individuals and families in need; and

Funds received through the CDBG-CV allocation must be used for eligible activities that prevent, prepare for, and respond to the spread of COVID-19. Access to healthy, nutritious food was identified as a significant problem in the community that was exacerbated by the COVID-19 pandemic. Food insecurity has also been one of the longest lasting impacts of the pandemic; and

The purpose of this third amendment is to reallocate unspent CDBG-CV funds to Urban Agriculture & Food Insecurity. The objective of this activity is to quantifiably increase the capacity of public services associated with assisting families' access to food, toiletries, and other personal care items; and

An amendment to the 2023-2024 AAP has also been prepared to maximize community impact and meet timely expenditure requirements set by HUD. The purpose of the amendment is to reallocate \$1,510,261 from the Public Infrastructure Improvements to eligible Affordable Housing activities. Affordable housing was one of the top Consolidated Plan priorities and there is a high demand for affordable housing in

the community. CDBG funds will be awarded to affordable housing developers for eligible housing activities, as established by the CDBG program, including but not limited to property acquisition; and

In addition to reallocating CDBG funds, the 2023-2024 AAP will be substantially amended to expand eligible matrix codes for Blight Abatement activities under the Economic Development project category; now, therefore,

BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF STOCKTON, AS FOLLOWS:

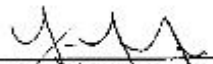
1. Approve the Third Amendment to the 2020-2021 Annual Action Plan (attached as Exhibit 1 to the Resolution) with any modifications deemed appropriate.
2. Approve a First Amendment to the 2023-2024 Annual Action Plan (attached as Exhibit 2 to the Resolution) with any modifications deemed appropriate.
3. Authorize the City Manager to reallocate funds among approved activities and partners as needed to maximize impact and benefit to the Stockton community.
4. Authorize the City Manager, or designee, to execute and submit all documents to the U.S. Department of Housing and Urban Development, execute contracts with sub-recipients, and to take any and all necessary and appropriate actions to carry out the purpose and intent of the Resolution.

PASSED, APPROVED, and ADOPTED October 17, 2023

ATTEST:



ELIZA R. GARZA, CMC
City Clerk of the City of Stockton


KEVIN J. LINCOLN II
Mayor of the City of Stockton

**CITY OF STOCKTON
SUBSTANTIAL AMENDMENT #3 TO FY 2020-2021 ANNUAL ACTION PLAN**

COMMUNITY DEVELOPMENT BLOCK GRANT CARES ACT (CDBG-CV) CHANGES

The AAP is substantially amended to reallocate CARES Act funds to the following eligible activities and to assist in meeting program expenditure deadlines.

1. PROPOSED REALLOCATIONS

Funding Source	Approved Activity	Proposed Change	Originally Approved Amount	Allocation Change	New Funding Level
CDBG-CV (R1)	Venue Preparedness	Reallocate funds from this activity to Urban Agriculture & Food Insecurity	\$100,000	- \$100,000	\$0.00
CDBG-CV (R1)	Business Development Assistance	Reallocate funds from this activity to Urban Agriculture & Food Insecurity	\$93,429	- \$29	\$93,400
CDBG-CV (R3)	Small Business Grant Program	Reallocate funds from this activity to Urban Agriculture & Food Insecurity	\$500,000	- \$133,550	\$366,450
CDBG-CV (R3)	Outdoor Dining/Retail Support Grant Program	Reallocate funds from this activity to Urban Agriculture & Food Insecurity	\$100,000	- \$100,000	\$0.00
CDBG-CV (R3)	Urban Farmers & Cottage Food Grant Program	Reallocate fund from this activity to Urban Agriculture & Food Insecurity	\$107,650	- \$92,650	\$15,000
Funding Source	New Proposed Activity or Allocation	Proposed Change	Originally Approved Amount	Proposed Allocation	New Funding Level
CDBG-CV (R3)	Urban Agriculture & Food Insecurity	Reallocate from Above Activities	\$400,000	+ \$426,229	\$826,229

CITY OF STOCKTON
SUBSTANTIAL AMENDMENT #1 TO FY 2023-2024 ANNUAL ACTION PLAN

COMMUNITY DEVELOPMENT BLOCK GRANT CHANGES

The purpose of this Substantial Amendment is to reallocate CDBG funds to new or existing eligible activities to assist in meeting 2023-2024 CDBG timeliness expenditure thresholds.

The AAP is substantially amended to defund Public Improvements and reallocate funds to eligible housing activities, as established by the CDBG program.

1. PROPOSED REALLOCATIONS

Funding Source	Approved Activity	Proposed Change	Originally Approved Amount	Allocation Change	Remaining Funds
CDBG	Public Infrastructure Improvements	Reallocate funds to Affordable Housing	\$1,510,261	- \$1,510,261	\$0.00
Funding Source	New Proposed Activity or Allocation	Proposed Change	Originally Approved Amount	Proposed Allocation	New Totaling Funds
CDBG	Affordable Housing	Allocate from Public Infrastructure Improvements National Objective: LMH	\$0.00	+ \$1,510,261	\$1,510,261

In addition to reallocating CDBG funds, staff recommends the following amendment:

AAP is substantially amended to expand eligible matrix codes for Blight Abatement activities under the Economic Development project category:

- 04 – Clearance and Demolition
- 04A – Cleanup of Contaminated Sites
- 16A – Residential Historic Preservation
- 16B – Non-Residential Historic Preservation

**CITY OF STOCKTON
COMMUNITY DEVELOPMENT COMMITTEE
MEETING NOTICE**

September 13, 2023
3:30 p.m.

Cesar Chavez Library – Stewart Hazelton Room
308 N El Dorado Street

Committee Members

D'Adrea Davie (Chair)
Staci Braga (Member)
Gurneel Boparai (Member)
Kevin Redick (Member)
Dwight Young (Member)
Vacant District 1 (Member)



RECORDING SECRETARY

Caleya Pitts

Economic Development Department
400 E. Main Street, 4th Floor
Stockton, CA 95202

209-937-8539

THE PUBLIC IS WELCOME TO ATTEND

Pursuant to Title II of the Americans with Disabilities Act (codified at 42 United States Code Section 12101 and 28 Code of Federal Regulations Part 35), and Section 504 of the Rehabilitation Act of 1973, the City of Stockton does not discriminate on the basis of race, color, religion, national origin, ancestry, sex, disability, age or sexual orientation in the provision of any services, programs, or activities.

The Community Development Committee Agenda and Agenda Reports are prepared by City staff and are available for public review prior to the CDC meeting. The agenda is also available on the city's website, <https://stockton.legistar.com/Calendar.aspx>.

CERTIFICATE OF POSTING

I declare, under penalty of perjury, that I am employed by the City of Stockton and that I caused this agenda to be posted in the City Hall notice case on September 6, 2023 in compliance with the Brown Act.

Eliza R. Garza, CMC
City of Stockton City Clerk

Allison K. Lambertson

By: _____
Deputy

Digitally signed by Allison K. Lambertson
Date: 2023.09.06 13:45:57 -07'00'

CITY OF STOCKTON COMMUNITY DEVELOPMENT COMMITTEE

AGENDA – **SEPTEMBER 13, 2023**

1) CALL TO ORDER/ROLL CALL

Chairperson Davie

2) APPROVAL OF MINUTES

Chairperson Davie

- 2.01.** Approval of February 22, 2023, meeting minutes at 3:30 p.m.

Motion Required

3) PUBLIC COMMENT

Chairperson Davie

Opportunity for members of the audience to speak to Committee members regarding matters not included on the agenda (3 minutes per speaker)

4) NEW BUSINESS

Chairperson Davie

- 4.01.** CDC Election of Vice Chair

Motion Required

5) ITEMS FOR DISCUSSION

Chairperson

- 5.01. CITY OF STOCKTON DRAFT 2022-2023 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER) REVIEW**

Staff Liaison Overview

- 5.02. 2023-2024 ANNUAL ACTION PLAN SUBSTANTIAL AMENDMENT #1 AND 2020-2021 ANNUAL ACTION PLAN SUBSTANTIAL AMENDMENT #3**

Staff Liaison Overview

6) MATTERS INITIATED/FUTURE AGENDA

BUILDING ITEMS BY COMMITTEE AND STAFF

Chairperson Davie

Opportunity for Committee Members and Staff to make brief announcements and place items on future agendas.

7) ADJOURNMENT

Chairperson Davie

Motion Required

Motion to adjourn to the next Community

CITY OF STOCKTON COMMUNITY DEVELOPMENT COMMITTEE

AGENDA - SEPTEMBER 13, 2023

Development Committee meeting to November 8,
2023, at 3:30 p.m. via a virtual Teams meeting.

**CITY OF STOCKTON
CITY COUNCIL
COMMUNITY DEVELOPMENT COMMITTEE
February 22, 2023**

CHAIRPERSON
D'Adrea Davie

VICE CHAIRPERSON
Xochitl Sr. Paderes

COMMITTEE MEMBERS
Xochitl Sr. Paderes • D'Adrea Davie • Kevin Redick • James Dwight Young •
Gurneel Boparai • Rajan Nathaniel

MEMBERS PRESENT:

D'Adrea Davie
Xochitl Sr. Paderes
Kevin Redick
James Dwight Young
Gurneel Boparai

MEMBERS ABSENT:

Rajan Nathaniel

STAFF PRESENT:

Nicole Snyder, EDD Manager
Carmen Lambert, Recorder
Julisa Villalobos, EDD Grants Analyst
Cruzangel Corro, EDD Grants Analyst
Juan Gonzalez, EDD Housing Manager

1. CALL TO ORDER/ROLL CALL

Meeting called to order at 3:32 p.m.
Roll called. Quorum met.

2. APPROVAL OF MINUTES

2.01. Review and approval of minutes – March 15, 2022, minutes. Motion initiated by Kevin Redick to approve minutes, James Dwight Young second. Motion passed.

2.02. Review and approval of minutes – February 08, 2023, minutes. Motion to approve minutes as presented by Kevin Redick, D'Adrea Davie second, Xochitl Sr. Paderes abstention. Motion passes.

3. PUBLIC COMMENT

- Flandra Williams Grim, Amelia Ann Adams Whole Life Center (AAAWLF) provided an Introduction of the agency. AAAWL F is a CDBG applicant who was unable to present during the February 8, 2023 meeting.

4. NEW BUSINESS

4.01. Welcomed new committee members: James Dwight Young

- Chair D'Adrea Davie reopened Public Comments.

5. ITEMS FOR DISCUSSION –

- Nicole Snyder provided a brief introduction of upcoming staff items on the agenda and introduced Juan Gonzalez.
- Juan Gonzalez, new EDD Housing Manager introduced himself to the committee.

5.01. 2023/2024 CDBG/ESG APPLICATION REVIEW PROCESS

- Julisa Villalobos provided top CDBG/ESG average scores from the committee members review and provided an overview of the application process.

5.02. 2023/2024 ESG-HOUSING & HOMELESS SERVICES RECOMMENDATIONS

- Committee reviewed and discussed applicant information for final funding recommendations. ESG recommendations:
 - Women's Center Youth & Family Services \$79,491
 - Haven of Peace \$79,491
 - Central Valley Low Income Housing (HMIS) \$14,500
 - Central Valley Low Income Housing (HP/RRH) \$91,488

5.03. 2023/2024 CDBG-PUBLIC SERVICES RECOMMENDATIONS

- Committee reviewed and discussed applicant information for final funding recommendations. CDBG recommendations:
 - Tuleburg Press \$25,000
 - St. Mary's Dining Room \$115,000
 - Children's Home of Stockton \$75,000
 - Bread of Life \$75,000
 - Emergency Food Bank \$50,000
 - Second Harvest \$40,000
 - San Joaquin Fair Housing \$50,000
 - Boys & Girls Club \$25,000
 - Three Seeds Organization \$50,000
 - Uplift All Foundation (Loads of Hope/PBSU) \$100,000
 - DRAIL \$25,000
 - Kelly's Angels \$15,000
 - Amelia Ann Adams \$75,000

6. MATTERS INITIATED/FUTURE AGENDA BUILDING ITEMS BY COMMITTEE AND STAFF

- Next CDC meeting, March 22, 2023 - Annual Action Plan

- Chair D'Adrea Davie acknowledged attendance of committee member, Gurnell Boparai, who was not present during roll call.
- 2023 CDC meeting schedule will be forwarded to the committee.
- Committee requested report from 2022 and implement format for future reporting.

7. ADJOURNMENT

Motion to adjourn by Xochitl Sr. Paderes.
Meeting was adjourned at 6:23 p.m.

By: NICOLE SNYDER
ECONOMIC DEVELOPMENT MANAGER

Citizen Participation Outreach
Substantial Amendment #3 to FY 2020-2021 Annual Action Plan
Substantial Amendment #1 to FY 2023-2024 Annual Action Plan

Mode of Outreach	Target of Outreach	Summary of Comments Received
News Paper Ad— Stockton Record	<ul style="list-style-type: none"> City of Stockton residents 	N/A
News Paper Ad— Latino Times	<ul style="list-style-type: none"> City of Stockton residents 	N/A
Public Hearing— Community Development Committee Meeting	<ul style="list-style-type: none"> City of Stockton residents 	No comments received
Public Hearing— City Council Meeting	<ul style="list-style-type: none"> City of Stockton residents 	Received a public comment requesting that City considers future public infrastructure projects in the south side of Stockton.



TOWN HALL MEETING **FOR COMMUNITY DEVELOPMENT** **BLOCK GRANT FUNDS** **2023-2024 ANNUAL ACTION PLAN**

ABOUT THIS MEETING

The purpose of this meeting is to gather community ideas of existing housing and community development needs to aid in the development of the Annual Action Plan, which reports on CDBG-funded activities consistent with the City's Five-Year Consolidated Plan.

WHEN & WHERE

Wednesday, December 7, 2022
3:30 - 5:30 p.m.

Cesar Chavez Library
Stewart-Hazleton Meeting Room
605 N. El Dorado Street

GOALS & TOPICS



Community Needs

Obtain feedback for affordable housing, homeless services, and economic development needs.



Focus Areas

Help guide goals and strategies to fulfill the requirements of the Community Development Block Grant programs and services for the 2023-2024 program year.

City of Stockton Economic Development Department
400 E. Main Street, 4th Floor, Stockton, CA 95202
economic.development@stocktonca.gov | 209.937.8539 | www.stocktonca.gov

Cateya Pitts

From: Carmen Lambert
Sent: Tuesday, January 31, 2023 9:41 AM
To: Cateya Pitts
Subject: FW: News Release - Stockton Hosting Town Hall Meeting for Community Development Block Grant Funds - December 7



Carmen Lambert, Office Assistant
City of Stockton, Economic Development Department
400 E. Main Street, 4th Floor, Stockton, CA 95202
Carmen.Lambert@stocktonca.gov | 209.937.7569
www.stocktonca.gov | www.advantagestockton.com



From: Nicole Snyder <Nicole.Snyder@stocktonca.gov>
Sent: Tuesday, November 23, 2022 8:07 AM
To: ED - Economic Development <ED_Department@stocktonca.gov>
Subject: FW: News Release - Stockton Hosting Town Hall Meeting for Community Development Block Grant Funds - December 7

Good morning team,

As most of you are aware, we will be hosting a town hall next week to gain feedback and assess needs from our community members. Please see the full news release below. Let me know if you have any questions.

Thanks,



Nicole R. Snyder, Economic Development Manager
City of Stockton, Economic Development Department
400 E. Main Street, 4th Floor, Stockton, CA 95202
nicole.snyder@stocktonca.gov | 209.937.5359
www.stocktonca.gov | www.advantagestockton.com



Please use this link for a City calendar of closed days and holidays: <http://www.stockton.gov/events/cityCal.html>

From: Ask Stockton <askreply@user.govoutreach.com>
Sent: Monday, November 28, 2022 5:08 PM
To: Nicole Snyder <Nicole.Snyder@stocktonca.gov>
Subject: News Release - Stockton Hosting Town Hall Meeting for Community Development Block Grant Funds - December 7

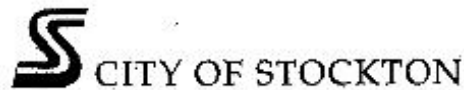
CAUTION: This email originated from outside the City of Stockton. Do not click any links or open attachments if this is unsolicited email.

The City of Stockton is hosting a town hall meeting to gather community ideas about how Community Development Block Grant (CDBG) funds for 2023-2024 should be used to meet the needs of the community. Those interested in contributing to the development of the 2023-2024 Annual Action Plan are encouraged to participate on Wednesday, December 7, 2022, from 3:30 p.m. – 5:30 p.m., at the Cesar Chavez Library in the Stewart Hazleton Meeting Room.

For additional information, please call the Economic Development Department at (209) 937-8535 or email economic.development@stocktonca.gov.

[Full News Release](#)

To Unsubscribe or manage your subscriptions, [click here](#)



NEWS RELEASE

FOR IMMEDIATE RELEASE: Monday, November 28, 2022

Contact: Connie Cochran, Community Relations Officer
209-937-8827 (o) / 209-629-1251 (c) / connie.cochran@stocktonca.gov

Stockton Hosting Town Hall Meeting for Community Development Block Grant Funds 2023-2024 Annual Action Plan

STOCKTON, Calif. – The City of Stockton is hosting a town hall meeting to gather community ideas about how Community Development Block Grant (CDBG) funds for 2023-2024 should be used to meet the needs of the community. Those interested in contributing to the development of the 2023-2024 Annual Action Plan are encouraged to participate on Wednesday, December 7, 2022, from 3:30 p.m. – 5:30 p.m., at the Cesar Chavez Library in the Stewart Hazleton Meeting Room.

The City's Annual Action Plan consists of the annual reporting of CDBG-funded programs and activities for the upcoming program year. The Plan's reporting is consistent with the goals and objectives identified in the adopted 2020-2025 Five-Year Consolidated Plan, as they relate to affordable housing, homeless services, and economic development. These annual programs and activities are supported through federal programs, including CDBG, and must be in alignment with the mission of the Department of Housing and Urban Development (HUD).

The town hall meeting will allow the community to express the needs for existing housing and community development to aid in progressing key focus areas, goals, and strategies. The feedback obtained will assist the City in determining how to deploy programs and services to fulfill the requirements of CDBG funding for the 2023-2024 program year.

-more-more-more-

City of Stockton News Release
2023-2024 Annual Action Plan Town Hall Meeting
Monday, November 28, 2022
Page 2 of 2

The adopted Five-Year Consolidated Plan and previous Annual Action Plans are available under the "Consolidated Plan / Action Plans" section at www.stocktonca.gov/housing. For additional information, please call the Economic Development Department at (209) 937-8539 or email economic.development@stocktonca.gov.

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All news releases can be found on the City of Stockton website at www.stocktonca.gov/news. Please follow us at: www.facebook.com/CityofStockton www.twitter.com/StocktonUpdates www.YouTube.com/StocktonUpdates

#

NEWS RELEASE

FOR IMMEDIATE RELEASE:
Wednesday, December 14, 2022

Contact: Connie Cochran
Community Relations Officer
209-937-8827 / 209-629-1251
connie.cochran@stocktonca.gov

Stockton Releases Notice of Funding Availability Eligible Non-Profits and Affordable Housing Organizations May Apply Online

STOCKTON, Calif. – The City of Stockton will release a Notice of Funding Available (NOFA) on Wednesday, December 14, 2022. The City has dedicated a NOFA to Community Development Block Grant (CDBG) and Emergency Solutions Grant (ESG). Eligible non-profit organizations and affordable housing organizations may begin submitting applications on December 14th. Completed applications must be submitted online by January 25, 2023, at 5:00 p.m. Applications will only be accepted through an online portal; the link to the application portal and eligibility requirements are available at www.stocktonca.gov/econdev.

The City of Stockton is an entitlement City, which means that the City receives two sources of funding from the Department of Housing and Urban Development annually: Community Development Block Grant Funds (CDBG), and Emergency Solutions Grant funds (ESG). The city has also received additional allocations from the State and HUD.

The City of Stockton anticipates receiving approximately \$720,000 dollars in CDBG funding, and \$264,970 dollars in ESG funding. Those funds are provided through the Department of Housing and Urban Development (HUD) in FY 2023-2024.

CDBG and ESG

The City is seeking applications from entities that have experience and are qualified to administer CDBG and ESG federal block grant programs. CDBG funds can be used for a

variety of activities. All activities must meet one of the national objectives:

- benefit low- and moderate-income people,
- prevent or eliminate slums and blight; or
- meet an urgent need.

ESG funds are available to agencies providing emergency shelter, homeless prevention, or rapid re-housing services to the homeless or those at risk of homelessness.

Organizations interested in applying may attend an online "How to Apply" Workshop on December 21, 2022. The Workshop will be recorded and posted to the City's website. The Community Development Committee is scheduled to meet on Wednesday, January 11, 2023, from 3:30 – 5:00 p.m. at Cesar Chavez Library.

For more information, please visit www.stocktonca.gov/Housing or call (209) 937-8539.

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All News Releases can be found on the City of Stockton website at www.stocktonca.gov/news
Follow us: Facebook @CityofStockton / Twitter @StocktonUpdates / YouTube @StocktonUpdates
#

NOFA Legal Ad



**NOTICE OF FUNDING AVAILABILITY
CITY OF STOCKTON
FISCAL YEAR (FY) 2023-2024 ACTION PLAN
COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)
EMERGENCY SOLUTIONS GRANT (ESG)**

NOTICE IS HEREBY GIVEN that on Tuesday, December 6, 2022, the City of Stockton's Community Development Block Grant (CDBG) program, and Emergency Solutions Grant (ESG) Program Notice of Funding Availability (NOFA) will be released to the public and open to accept applications; and on Wednesday, January 11, 2023, there will be a meeting from 3:30 to 5:00pm to discuss the City of Stockton's NOFA process.

CDBG funds can be used for a variety of activities. All activities must meet one of the following national objectives benefit low- and moderate-income people, prevent or eliminate slums and blight or meet an urgent need.

ESG funds are available to agencies providing emergency shelter, homeless prevention, or rapid re-housing services to the homeless or those at risk of homelessness.

The City of Stockton anticipates receiving approximately \$645 thousand dollars of CDBG funding, \$290,000 of ESG funding from the Department of Housing and Urban Development (HUD) in FY 2023-2024.

The City is seeking applications from entities that have experience and are qualified to administer CDBG and ESG federal block grant programs. Applications will be accepted for the use of CDBG, and ESG funds through the City's online grants web portal at <https://portal.neighborlysoftware.com/stocktonca/Participant> and can be uploaded on or after December 6, at 1:00 pm. The final online application deadline is Wednesday, January 18, 2023, by 5:00 pm. The city will not accept applications by mail, fax, email, or in person.

If you are disabled and require a copy of NOFA, or an agenda and/or agenda packet in an appropriate alternative format; or if you require other accommodation, please contact the Office of the City Clerk located at 425 N. El Dorado St, Stockton, California 95202, during normal business hours or by calling (209) 937-8459, at least 5 days in advance of the meeting.

You may contact the Economic Development Department at (209) 937-8539 for information regarding any of the above-mentioned programs or the application process.

NOFA Notification & Town Hall Invite List

Pg. 1

Email address	First name	Last name	Email Lists
jalexander@nchenidatabase.org	Jami	Alexander	CDBG-ESG-HOME SSRG Release, New Quarterly Email List 2021, CDBG-ESG- HOME, DIS Final RSVP List 2021, Stockton Small Business Update
gdailey209@gmail.com	Gwendolyn	Daley	Community Support Fund, New Quarterly Email List 2021, CDBG-ESG-HOME
jmliller@stocktonfoodbank.org acheshire@sjgov.org	Janice Adam	Miller Cheshire	CDBG-ESG-HOME, Ask Stockton ED Ask Stockton Housing Assistance, New Quarterly Email List 2021, CDBG-ESG-HOME, Ask Stockton ED
bohlan25@gmail.com	Brittany	Bohlan	Ask Stockton Housing Assistance, New Quarterly Email List 2021, CDBG-ESG-HOME, DIS Final RSVP List 2021, Ask Stockton ED
viadeveryess@gmail.com tphelway@fmosj.org	Laronna Tiffany	Frazier Phelway	CDBG-ESG-HOME, Ask Stockton ED Advantage Stockton - Webinar, Investors Summit, Brokers/Developers, Investor Summit rsvp, Developers Luncheon, CDBG-ESG-HOME, Downtown Investor Summit
marina@comco.com	Mahala	Burns	Community Support Fund, Business Resource Coalition Group, CDBG-ESG-HOME, Rental/Mortgage Assistance Business Resource Coalition Group, CDBG-ESG-HOME, DIS Final RSVP List 2021
baquino@stocktonchamber.org	Timmi	Quinn	Community Support Fund, Business Resource Coalition Group, CDBG-ESG-HOME, Rental/Mortgage Assistance
nathan.mcbride@saltcollage.edu	Nate	McBride	Community Support Fund, Business Resource Coalition Group, CDBG-ESG-HOME, Rental/Mortgage Assistance
klrwhistle@unitedwaysjc.org	Kristen	Whistle	Business Resource Coalition Group, CDBG-ESG-HOME Community Support Fund, CDBG-ESG- HOME, Rental/Mortgage Assistance
sblacob@nochildabuse.org	Shauna	Buzunk Jacob	Community Support Fund, CDBG-ESG- HOME, Rental/Mortgage Assistance
arturo@centrocomunidad.org	Arturo A.	Noriega	Community Support Fund, CDBG-ESG- HOME, Rental/Mortgage Assistance
asc@ddso.org	Margarita	Garcia	Community Support Fund, CDBG-ESG- HOME, Rental/Mortgage Assistance
snoguera@cmccareers.org	Christine	Avoguera	Community Support Fund, New Quarterly Email List 2021, CDBG-ESG-HOME, Stockton Small Business Update, Ask Stockton ED
cunhieu@thetwosj.org	Connie	Uyehub	Community Support Fund, CDBG-ESG- HOME, Rental/Mortgage Assistance
cbastida@healthforcepartners.net	Christina	Bastida-Gonzalez	Arts Organizations in Stockton, Community Support Fund, CDBG-ESG-HOME, DIS Final RSVP List 2021, Rental/Mortgage Assistance
graciemadrid@songlobal.net cpad@alconcillo.org	Gracie Carmen	Madrid Padilla	New Quarterly Email List 2021, CDBG-ESG-HOME
shirlene@citydevelopment.com	Cyrus	Youssef	Community Support Fund, CDBG-ESG-HOME, Downtown Investor Summit, Rental/Mortgage Assistance
steve.pratt@sahhousing.org	Steve	Pratt	Community Support Fund, CDBG-ESG-HOME, Downtown Investor Summit, Rental/Mortgage Assistance
mlimb@newbourtpartners.com			Community Support Fund, CDBG-ESG-HOME, Downtown Investor Summit, Rental/Mortgage Assistance
sjaues@mercyhousing.org upliffallfoundation@gmail.com hawkins@hompson@stuglobal.net jenewah@gmail.com usothed@apsaraonline.org adtrujillo1000@att.net lpedraza@chssk.org	Stephan Mary Rumpling Sothea Ed Laura	Dallas Ocegueda Lin Ung Truitt Pedraza	Community Support Fund, CDBG-ESG-HOME, Downtown Investor Summit, Rental/Mortgage Assistance CDBG-ESG-HOME CDBG-ESG-HOME CDBG-ESG-HOME CDBG-ESG-HOME CDBG-ESG-HOME CDBG-ESG-HOME

NOEA Notification & Town Hall Invite list

Pg. 2

miller.donald55@comcast.net	Don	Miller	New Quarterly Email List 2021, CDBG-ESG HOME, Stockton Insights Quarterly Newsletter, Stockton Small Business Update
maajulan@efedj.org	Moses	Zaplan	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
tammy.shaff@dignityhealth.org	Tammy	Shaff	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
irena@hitchinthevalley.org	Irena	Turner	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
kruhstaller@frrsl.org	Kay	Ruhstaller	Arts Organizations in Stockton, Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
heatherg@ondsupmcitycenter.org	Heather	Green	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
unitedvalerianscouncilstockton@gmail.com	Marvin	Hernandez-Garcia	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
sr.luneez@fhs.org	Semmye Nunez	Nunez	Arts Organizations in Stockton, Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
dillon@littlemanilla.org	Dillon	Dekko	Arts Organizations in Stockton, Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
gnewby@friendsoutside.org	Gretchen	Newby	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
ghw.valadez@ymail.com	Gina	Valadez	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
jmenesesom@cvtchc.org	Jan	Mendeslon	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
lturner@noctuidabuse.org	Urby	Turner-Hall	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
jgomez@chastk.org	Joelle	Comwa	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
michellem@communitycenterfortheblind.org	Michelle	Gelman	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
heathor@e rail.org	Heather	Santos	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
jguerrero@kelsangehls.org	Jamie	Guerrero	Ask Stockton Housing Assistance, Community Support Fund, New Quarterly Email List 2021, CDBG-ESG HOME, Rental/Mortgage Assistance, Ask Stockton FD
juan.oregal@usw.salvationarmy.org	Lt. Juan	Oragal	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
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kkrick@secondharvest.org	Keenon	Krick	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
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gena@stocktoninspectcorp.org	Gene	Acevedo	Community Support Fund, CDBG-ESG HOME, Stockton Insights Quarterly Newsletter, Stockton Small Business Update, Rental/Mortgage Assistance
ceo@stocktonshelter.org	Julia	McMillan	Community Support Fund, CDBG-ESG HOME, Stockton Insights Quarterly Newsletter, Stockton Small Business Update, Rental/Mortgage Assistance
tuleoutpress@gmail.com	Paula	Shelf	Arts Organizations in Stockton, Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
kfiser@wcyfs.org	Krista	Fiser	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
bensch@hospitalcouncil.net	Brian	Jensen	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance
verasbudo@att.net	Arturo & Ana	Vera	Community Support Fund, CDBG-ESG HOME, Rental/Mortgage Assistance

NOFA Notification & Town Hall Invite List

Pg. 3

patrick@edible.schoolyard.org	Stephen	Kilbuck	CDBG-ESG-HOME
angel@edible.schoolyard.org	Angela	Moore-Brown	CDBG-ESG-HOME
			CDBG-ESG-HOME, Urban Farmers and Cottage Food Vendors
patrick@edible.schoolyard.org	Patricia	Miller	Mailing List
rryt@edible.schoolyard.org	Tom	Miller	CDBG-ESG-HOME
thumper522@gmail.com	Leora	Rascoe	CDBG-ESG-HOME
distill@harvestthefarmhouse.org	Christine	Morales	CDBG-ESG-HOME
q@edible.schoolyard.org	Christina	Peoples	CDBG-ESG-HOME
csr@edible.schoolyard.org	Coleen	Ray-Sykes	CDBG-ESG-HOME
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pasce44@gmail.com	Maryann	All	CDBG-ESG-HOME
georgiad@ms15@yahoo.com	Barbara	Bridges	CDBG-ESG-HOME
great@edible.schoolyard.org	Troy	Docary Sr.	CDBG-ESG-HOME
esig@edible.schoolyard.org	Essie	Gilchist	CDBG-ESG-HOME
thorman@raisingyouthresilience.org	John	Northen	CDBG-ESG-HOME
info@emestockton.org	Nikki	Chan	New Quarterly Email List 2021, CDBG-ESG-HOME
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rachel@corona@konnar.com	Rachel	Corona	CDBG-ESG-HOME, Downtown Investor Summit
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info3350@careofhomes.com			CDBG-ESG-HOME, Downtown Investor Summit
nquliantang@fbhomes.com			CDBG-ESG-HOME, Downtown Investor Summit
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tony@ghoraaltors.com			CDBG-ESG-HOME, Downtown Investor Summit
glansardmore4@gmail.com			CDBG-ESG-HOME
zskers@edible.schoolyard.org			CDBG-ESG-HOME, Downtown Investor Summit
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NOFA Notification & Town Hall Invite List

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NOFA Notification & Town Hall Invite List

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Received 11 July 2005; accepted 12 October 2005

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Asian Chamber	Leslie Edman	209-435-2630	AA-VOICEMAIL	
African American Chamber	Luethiel Seawood	209-227-5418	AA	
Hispanic Chamber	Lisa Vola	209-943-6117; 209-685-7788	AA	
DSA	Mike Huber	(209) 404-5240	AA-VOICEMAIL	
MMIE	Kim Byrd	209-823-1144	AA-VOICEMAIL	
Gracie Huber	Kevin Huber	209-490-2663	AA-VOICEMAIL	
Community Kitchen	Rina Markett	(209) 608-5455	AA-VOICEMAIL	
iHub	Brenna Garcia	209-954-9595	AA-VOICEMAIL	
Community Foundation of San Joaquin	George Lorente		AA EMAILED	
San Joaquin County	Kate Poole		AA EMAILED	
Human Services Agency				
Healthcare				

Housing Developers	Contact	Phone Number	Called?	
Community Partnership for families	Timmy Quinn	209-292-8423		
Stockton At				
Housing Authority	Peter Ragsdale	209-460-5003 - Asst. Dir. Dc		
Service First	Varrel Hill	209-406-8051		
Visionary	Carol Ornelas	209-598-1259		
Central Valley Low Income Housing Corp.	Jon Mendelson	209-472-7200 ext 104		
STAND	Fred Shell	209-937-7625		

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Lao Family				
VVOA				
APSARA				
Reinvent Stockton	Darry Rutherford	580-220-0305		
Faith In the Valley				
El Coruillo	Michael Hernandez	209-644-2699		
Catholic Charities				
Muslim Temple				
Pride Center				

CITY OF STOCKTON	
Town Hall Attendee Sign In Sheet	
Annual Action Plan 2023-2024	
December 7, 2022	

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CITY OF STOCKTON			
Town Hall Attendee Sign In Sheet			
Annual Action Plan 2023-2024			
December 7, 2022			

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Aaron Held's

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CITY OF STOCKTON

Economic Development Department

CDBG & ESG "How to Apply" Workshop

Notice of Funding Availability (NOFA) for Community Development Block Grant (CDBG) and Emergency Solutions Grant (ESG)



CDBG & ESG "How to Apply" Workshop

January 4th, 2023

Presented By



**Online "How to Apply" Workshop
January 4, 2023 at 10:30 a.m.**

Experienced and qualified nonprofits and homeless service providers are encouraged to apply to administer the CDBG and ESG federal grant programs. Workshop details.

including the link to participate, are posted on the website below. The Workshop will be recorded and posted to the City's website.

**Click to
Register**

City of Stockton Economic Development Department
400 E. Main Street, 4th Floor, Stockton, CA 95202
(209) 937-8539 | Economic.Development@stocktonca.gov
www.AdvantageStockton.com





CITY OF STOCKTON

Economic Development Department

Application Portal is Now Open!

NOTICE OF FUNDING AVAILABILITY

COMMUNITY DEVELOPMENT BLOCK GRANT AND EMERGENCY SOLUTIONS GRANT



For organizations providing housing and supportive services for the homeless and public services to support food security, services for vulnerable populations, and fair housing services.

DEADLINE: JANUARY 25, 2023 @ 5 P.M.

WWW.STOCKTONCA.GOV/HOUSING



CITY OF
STOCKTON

The City of Stockton has released a Notice of Funding Availability (NOFA) for Community Development Block Grant (CDBG) and Emergency Solutions Grant (ESG) funds. Eligible non-profit organizations and homeless service providers may submit applications. The link to the application portal and full NOFA are available at: www.stocktonca.gov/Housing.

**Click to View Full
NOFA**

Deadline: January 25, 2023 at 5:00 p.m.

The City is seeking applications from organizations and agencies with the experience and qualifications to administer CDBG and ESG programs.

**Click to
Apply**

**Online "How to Apply" Workshop
January 4, 2023 at 10:30 a.m.**

Workshop details, including the link to participate, are posted on the website below. The Workshop will be recorded and posted to the City's website.

**Click to
Register**

The Community Development Committee (CDC) will review eligible applications and provide funding recommendations to the City. The CDC is scheduled to meet on Wednesday, January 11, 2023, from 3:30 – 5:00 p.m. at Cesar Chavez Library.

For further information please call (209) 937-8539 or visit www.stocktonca.gov/Housing.

City of Stockton Economic Development Department
400 E. Main Street 4th Floor, Stockton, CA 95202
(209) 937-8539 | Economic.Development@stocktonca.gov
www.AdvantageStockton.com





CITY OF STOCKTON

Economic Development Department

**Applications Due January 25, 2023
at 5:00 p.m.**

NOTICE OF FUNDING AVAILABILITY

COMMUNITY DEVELOPMENT BLOCK GRANT AND EMERGENCY SOLUTIONS GRANT



For organizations providing housing and supportive services for the homeless and public services to support food security, services for vulnerable populations, and fair housing services.

DEADLINE: JANUARY 25, 2023 @ 5 P.M.

WWW.STOCKTONCA.GOV/HOUSING



The City of Stockton has released a Notice of Funding Availability (NOFA) for Community Development Block Grant (CDBG) and Emergency Solutions Grant (ESG) funds. Eligible non-profit organizations and homeless service providers may submit applications. The link to the application portal and full NOFA are available at: www.stocktonca.gov/Housing.

[Click to View Full](#)

NOFA

Deadline: January 25, 2023 at 5:00 p.m.

The City is seeking applications from organizations and agencies with the experience and qualifications to administer CDBG and ESG programs.

**Click to
Apply**

**"How to Apply" Workshop
Recording Available**

The "How to Apply" Workshop was hosted live via Webex on January 4, 2023. Click the link below to access the recording of the workshop.

**Click to View
Recording**

For further information please call (209) 937-8539 or visit www.stocktonca.gov/Housing.

City of Stockton Economic Development Department
400 E. Main Street, 4th Floor, Stockton, CA 95202
(209) 937-8539 | Economic.Development@stocktonca.gov
www.AdvantageStockton.com




Grantee SF-424's and Certification(s)

OMB Number: 4342-0004
 Expiration Date: 11/30/2025

Application for Federal Assistance SF-424			
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	
* 3. Date Received: 11/02/23		* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>	
4. Applicant Identifier: <input type="text"/>		5a. Federal Entry Identifier: <input type="text"/>	
5b. Federal Award Identifier: P: 23-HQ-35-0926		State Use Only: 6. Date Received by State: <input type="text"/>	
7. State Application Identifier: <input type="text"/>		8. APPLICANT INFORMATION:	
* a. Legal Name: City of Stockton			
* b. Employer/ taxpayer identification Number (EIN/TIN): 94-6030316		* c. UEI: E358X37QUN49	
d. Address:			
* Street1: 125 North Hl Dorado Street			
* Street2: <input type="text"/>			
* City: Stockton			
* County/Federal: San Joaquin			
* State: CA: California			
* Province: <input type="text"/>			
* Country: USA: UNITED STATES			
* Zip / Postal Code: 95262-1951			
e. Organizational Unit:			
Department Name: Economic Development Dept		Division Name: Economic	
f. Name and contact information of person to be contacted on matters involving this application:			
Prefix: Ms.		* First Name: Carrie	
Middle Name: <input type="text"/>		* Last Name: McCall	
Suffix: <input type="text"/>		Title: Director of Economic Development	
Organizational Affiliation: <input type="text"/>			
* Telephone Number: (209) 937-8539		Fax Number: (209) 937-5059	
* Email: carrie.mc@stocktonca.gov			

Application for Federal Assistance SF-424		
* 9. Type of Applicant 1: Select Applicant Type:		
C: City or Township Government		
Type of Applicant 2: Select Applicant Type:		
Type of Applicant 3: Select Applicant Type:		
* Other (specify)		
* 10. Name of Federal Agency:		
U.S. Department of Housing and Urban Development		
11. Catalog of Federal Domestic Assistance Number:		
14.218		
CFDA Title:		
Community Development Block Grant/Entitlement Grants		
* 12. Funding Opportunity Number:		
6-23-XC-05-0026		
* Title:		
Community Development Block Grant/Entitlement Grants		
13. Competition Identification Number:		
Title:		
14. Areas Affected by Project (Cities, Counties, States, etc.):		
<input type="text"/> <input type="button" value="Add Selected"/> <input type="button" value="Back Selected"/> <input type="button" value="Cancel Item"/>		
* 15. Descriptive Title of Applicant's Project:		
City of Stockton's 20-24 Action Plan - CDAG Affordable Housing & Community Dev't including housing acquisition & rehabilitation, public facilities construction, economic dev't & public service activities		
Attach supporting documents as specified in agency instructions.		
<input type="button" value="Add Attachments"/> <input type="button" value="Back Attachments"/> <input type="button" value="Cancel Item"/>		

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant	9
* b. Program/Project	9
Attach an additional list of Program/Project Congressional Districts if needed. <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date:	07/01/2023
* b. End Date:	06/30/2024
18. Estimated Funding (\$):	
* a. Federal	3,220,454.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	2,225,795.00
* f. Program Income	1,510,794.00
* g. TOTAL	6,957,043.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain the list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix:	Mr.
* First Name:	Barry
Middle Name:	
* Last Name:	Black
Suffix:	
* Title:	City Manager
* Telephone Number:	(206) 937-2212
Fax Number:	(206) 937-7149
* Email:	barry.black@ataacklunde.gov
* Signature of Authorized Representative:	
* Date Signed:	6/20/23

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0069
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0346-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-516), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-645) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Stockton	6/20/23

SF 424D (Rev. 7-87) Back

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§1726-1765) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations identified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290a-3 and 290a-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. Those requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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Prescribed by GSA Circular A-102


9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11888; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1980 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11693 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Stockton	6/20/23

Standard Form 424B (Rev. 7-97) Back

Application for Federal Assistance SF-424			
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	
* 3. Date Received: 01/25/2021		* 4. Applicant Identifier: [Empty]	
5a. Federal Entity Identifier: [Empty]		5b. Federal Award Identifier: M-20-202-06-0221	
State Use Only:			
6. Date Received by State: [Empty]		7. State Application Identifier: [Empty]	
8. APPLICANT INFORMATION:			
* a. Legal Name: City of Stockton			
* b. Employer/Taxpayer Identification Number (EIN/TIN): 94-6006136		* c. UE: BJSXK3704229	
* d. Address: * Street1: 425 MARSH ST * Street2: [Empty] * City: Stockton * County/Parish: San Joaquin * State: CA * Province: [Empty] * Country: USA: UNITED STATES * Zip/Postal Code: 95202-1951			
* e. Organizational Unit: Department Name: Economic Development Dept Division Name: Housing			
f. Name and contact information of person to be contacted on matters involving this application: * First Name: Carrie * Last Name: Wright * Title: Director of Economic Development * Telephone Number: (209) 937-4539 * Fax Number: (209) 937-5099 * E-mail: carrie.wright@stocktonca.gov			

7

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="9"/>	* b. Program/Project: <input type="text" value="9"/>
Attach an additional list of Program/Project Congressional Districts if needed. <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Update Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/01/2023"/>	* b. End Date: <input type="text" value="06/30/2024"/>
18. Estimated Funding (\$):	
* a. Federal:	<input type="text" value="1,760,797.00"/>
* b. Applicant:	<input type="text"/>
* c. State:	<input type="text"/>
* d. Local:	<input type="text"/>
* e. Other:	<input type="text" value="1,117,658.00"/>
* f. Program Income:	<input type="text" value="260,000.00"/>
* g. TOTAL:	<input type="text" value="2,978,455.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Harry"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Black"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="City Manager"/>	
* Telephone Number: <input type="text" value="(209) 937-8213"/>	Fax Number: <input type="text" value="(209) 937-7149"/>
* Email: <input type="text" value="harry.black@stocktonca.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="6/20/23"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number 4040-0309
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0345-0012), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

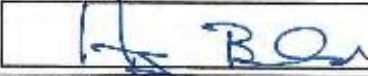
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4725-4765) relating to proscribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-5 and 290 cc-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1964 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by GMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 175(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-623); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§459a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Stockton	6/20/23

SF-424D (Rev. 7-87) Back

ASSURANCES - NON-CONSTRUCTION PROGRAMS

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As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4720-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§280a-3 and 290ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§801 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. Those requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.


9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 108 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§466a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-135, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Stockton	6/20/23

Standard Form 424B (Rev. 7-97) Back

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision
* If Revision, select appropriate identifier: <input type="text"/>		
* Other (Specify): <input type="text"/>		
* 3. Date Received: <input type="text" value="2/15/2025"/>		4. Applicant Identifier: <input type="text"/>
5a. Federal Entry Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text" value="E-23-EC-06-0020"/>
State Use Only:		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="City of Stockton"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="50-6003436"/>		* c. UEI: <input type="text" value="400MKS70P0169"/>
d. Address:		
* Street1:	<input type="text" value="425 North G1 Broadway Street"/>	
* Street2:	<input type="text"/>	
* City:	<input type="text" value="Stockton"/>	
* County/Parish:	<input type="text" value="San Joaquin"/>	
* State:	<input type="text" value="CA: California"/>	
* Province:	<input type="text"/>	
* Country:	<input type="text" value="USA: UNITED STATES"/>	
* Zip / Postal Code:	<input type="text" value="95205-7951"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="Economic Development Dept"/>		Division Name: <input type="text" value="Housing"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix:	<input type="text" value="Ms."/>	* First Name: <input type="text" value="Carrie"/>
Middle Name:	<input type="text"/>	
* Last Name:	<input type="text" value="Wright"/>	
Suffix:	<input type="text"/>	
Title: <input type="text" value="Director of Economic Development"/>		
Organizational Affiliation: <input type="text"/>		
* Telephone Number:	<input type="text" value="(209) 937-8539"/>	Fax Number: <input type="text" value="(209) 937-5059"/>
* Email: <input type="text" value="carrie.wright@stocktonca.gov"/>		

Application for Federal Assistance SF-424		
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>		
Type of Applicant 2: Select Applicant Type: <input type="text"/>		
Type of Applicant 3: Select Applicant Type: <input type="text"/>		
* Client (specify): <input type="text"/>		
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>		
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.231"/>		
CFDA Title: <input type="text" value="Emergency Solutions Grant Program"/>		
* 12. Funding Opportunity Number: <input type="text" value="B-22-M2-06-0026"/>		
* Title: <input type="text" value="Emergency Solutions Grant Program"/>		
13. Competition Identification Number: <input type="text"/>		
Title: <input type="text"/>		
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Another"/> <input type="button" value="Reset All Fields"/> <input type="button" value="Delete All Fields"/>		
* 15. Descriptive Title of Applicant's Project: <input type="text" value="City of Stockton's 23-24 Action Plan - ESG
Emergency Shelter, Homeless Prevention, Rapid-Rehousing, Essential Services, EMIS and Program Administration"/>		
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Cancel Attachment"/>		

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="9"/>	* b. Program/Project: <input type="text" value="9"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="01/01/2023"/>	* b. End Date: <input type="text" value="06/30/2024"/>
18. Estimated Funding (\$):	
* a. Federal:	<input type="text" value="275,946.00"/>
* b. Applicant:	<input type="text"/>
* c. State:	<input type="text"/>
* d. Local:	<input type="text"/>
* e. Other:	<input type="text"/>
* f. Program Income:	<input type="text"/>
* g. TOTAL:	<input type="text" value="275,946.00"/>
19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Barry"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Black"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="City Manager"/>	
* Telephone Number: <input type="text" value="(209) 937-8212"/>	Fax Number: <input type="text" value="(209) 937-7109"/>
* Email: <input type="text" value="barry.black@stocktonca.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="6/20/23"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4240-0048
Expiration Date: 02/28/2025

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1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
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Prescribed by OMB Circular A-102

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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Franken	6/20/23

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ASSURANCES - NON-CONSTRUCTION PROGRAMS

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NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-362) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§525 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. Those requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11888; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11583 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§459a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1986 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Stockton	6/20/23

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CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

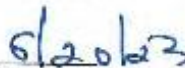
1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-113, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.


Signature of Authorized Official


Date

City Manager
Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2023 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws – The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws – It will comply with applicable laws.


Signature of Authorized Official


Date

City Manager
Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

not applicable
Signature of Authorized Official

Date

Title


Specific HOME Certifications

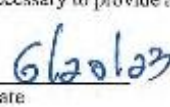
The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;


Signature of Authorized Official


Date

City Manager
Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

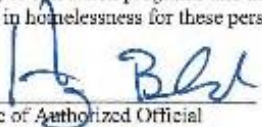
Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature of Authorized Official



Date

City Manager
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.


(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* APPLICANT'S ORGANIZATION	
City of Stockton	
* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE	
Prefix: Mr.	* First Name: Harry Middle Name:
* Last Name: Black	Suffix:
* Title: City Manager	
* SIGNATURE: 	* DATE: 6/21/23